Army Regulation 381-100

Military Intelligence

Army Human
Intelligence
Collection Programs (U)

Regraded UNCLASSIFIED on
14 April 2011
by USAINSCOM FOI/PA
Auth para 4-102, DOD 5200-1R

Headquarters
Department of the Army
15 May 1988

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SECRET
ARMY HUMAN INTELLIGENCE COLLECTION PROGRAMS (U)

(U) Summary. This regulation is a consolidation of several regulations that provide the administration and guidance for various intelligence collection programs. It prescribes the policies, responsibilities, procedures for, and restrictions on, the conduct of Human Resources Intelligence (HUMINT) collection by members and employees of the U.S. Army. This regulation further implements DOD Directive S-5205.1 and prescribes the safeguarding of information pertaining to certain HUMINT activities. Specifically, it establishes the Foreign Military Intelligence Collection Activities Program.

(U) Supplementation. Supplementation of this regulation and establishment of command or local forms are prohibited without prior approval from

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*This regulation together with DA Pam 381-18, DA Pam 381-21, DA Pam 381-155, and DA Pam 381-18 supersedes AR 381-100, 1 August 1941; AR 381-15, 1 January 1975; AR 381-31, 10 January 1986; AR 381-155, 31 October 1985; and AR 381-28, 16 March 1989.

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Chapter 1
Introduction

1-1. (C) Purpose

b1

1-2. (U) References

Required and related publications and referenced forms are listed in appendix A.

1-3. (U) Explanation of abbreviations and terms

Abbreviations and special terms used in this regulation are explained in the glossary.

1-4. (U) Responsibilities

a. (U) Deputy Chief of Staff for Intelligence (DCSINT) will—

(1) (U) Ensure that all HUMINT operations and activities are conducted in accord with AR 381-10.

b. (U) The DCSINT has designated the Commanding General, Intelligence and Security Command (CG, INSCOM), ATTN: IAOFS-H, Arlington Hall Station, Arlington, VA 22212, to serve as the DA Service HUMINT Manager (SHM). The CG, INSCOM will perform DA centralized HUMINT Management functions.

1-5. (U) Authority

a. (U) Paragraph 1.12, Executive Order 12333, Intelligence Activities, 4 Dec 81, charges the military services with the responsibility to collect military and military-related foreign intelligence.

d. (U) AR 381-10 provides DA policy on HUMINT activities.

1-6. (U) Policy
Chapter 2
Human Intelligence Collection Operations

2-1. (U) General

b1

(1) (U) Programming and budgeting.
(2) (U) Delineating separate procedures for approval.
(3) (U) Coordinating certain categories of HUMINT activities.

2-2. (U) HUMINT procedures, restrictions, and authorities

a. (U) Executive Order 12333, as implemented by AR 381-10, imposes restrictions and limitations on the conduct of intelligence collection to ensure that established concepts of privacy and civil liberties are respected.

b1

(1) (U) AR 381-10 implements and expands on DOD 5240.1-R as follows:
(1) (U) Gives overall guidance on intelligence activities conducted by the U.S. Army.
(2) (U) Sets forth procedures for the collection, storage, and dissemination of information about U.S. persons.
(3) (U) Clarifies Procedure 10 about undisclosed participation by employees of DOD intelligence components in organizations.
2-3. (U) Responsibilities

a. (U) DCSINT responsibilities remain as cited in paragraph 1-4a of this regulation.

b. (U) The Service HUMINT Manager (CG, INSCOM per para 1-4b) will provide program, operations, and technical management for DA HUMINT activities and organizations in support of U.S. Army and DOD information needs. The CG, INSCOM will perform the following in the categories designated:

(1) (U) Program management.

(2) (U) Operations management.

(f) (U) Plan and implement a surge capability to satisfy high priority information needs in crisis and war.

(3) (U) HUMINT technical management.

e. (U) Unified commanders overseas will—

2–4. (U) HUMINT functions
HUMINT collection of intelligence usually consists of the following:

b1  b2

b1
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Chapter 3
Foreign Military Intelligence Collection Activities Program (U)

3-2. (U) FORMICA responsibilities

(1) (U) Ensuring that all FORMICA is conducted in accord with AR 381-10.

(2) (U) Establishing policy and procedures for Army participation in FORMICA.

(4) (U) Reviewing DA participation in FORMICA for compliance with established policy.

(b) (1) & (2) per CIA

(b) (3) per CIA

(8) (CSI) Forwarding proposed Memorandums of Understanding to ODCSINT for approval and coordination with the Army Staff and Secretariat.
c. (U) All Army Staff elements and major Army commands will—

3-4. (U) Additional Information
For specific procedural information on FORMICA operations, see DA Pam 381-15.
(8) (U) Query b2 annually to ensure the provisions of d below are met.

(4) (U) Ensure that—

4-4. (U) Additional information
For specific procedural information on b2 operations, see b2.
Chapter 5

5-1. (U) b2

5-3. (U) b2

a. (U) The Deputy Chief of Staff for Intelligence, Department of the Army will establish policy and exercise General Staff supervision over all Army activities related to the b2 and will ensure that all such activities are conducted in accord with AR 381-10.

b. (U) The CG, U.S. Army Intelligence and Security Command (INSCOM) will—

(4) (U) Issue appropriate guidance concerning this regulation.

(1) (U) Implement and coordinate b2 matters in cooperation with INSCOM.
& (U) Biographic policy.

5-5. (U) Additional information
For specific procedural information on b2 operations, see DA Pam 381-155.
8-3. (U) b2 policy

a. (U) All designated DA elements will use the b2 communications channel when transmitting communications containing information described in paragraph 6-2 above.

b. (U) Normally, b2 message traffic will be dispatched between b2 control points by General Service (GENSER) communications channels. Special Security Office (SSO) communications channels may be used at the discretion of local commanders and as required by local communications conditions. However, the use of the SSO channel is generally discouraged.

c. (U) b2 material will be given maximum protection under existing security regulations governing protection of b2

d. (U) Transmission of b2 communications in the b2 channel will—

1. (U) Protect the integrity of the channel.
2. (U) Ensure quick routing to the recipient.
3. (U) Limit distribution.

e. (U) The integrity and security of the b2 communications channel will be protected at all times.

h. (U) This regulation does not authorize the transmittal of information if the acquisition, retention, or dissemination of the information is otherwise constrained or controlled by executive order or regulation.

6-4. (U) Army participation authority

b2
6-5. (U) b2 responsibilities
   a. (U) The Deputy Chief of Staff for Intelligence will—
      (1) (U) Establish policy and procedures for Army use of the communications channel.
      (2) (U) Act as the single POC for the Army Staff.

6-6. (U) Additional Information
For specific procedural information on operations, see DA Pam 381-16.

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Appendix A

References

Section 1

(U) Required Publications

(U) AR 12-15 (Joint Security Assistance Training Regulation). Cited in paragraph 5-3e.

(U) AR 380-5 (Department of the Army Information Security Program). Cited in paragraph 6-1b(4).

(U) AR 381-10 (U.S. Army Intelligence Activities). Cited in paragraphs 1-4a(1), 1-5d, 1-6a, 1-6b, 2-2a, 2-2b, 2-3e, 3-2a(1), 3-2b, 3-3a, 4-3a, and 5-3a.

(U) AR 381-12 (Subversion and Espionage Directed Against the U.S. Army). Cited in paragraph 3-2c(5).

DA Pamphlet 381-16 (Safeguarding Information Pertaining to Certain HUMINT Activities (U)). Cited in paragraphs 6-1, 6-3f, and 6-6.


(U) Defense Intelligence Agency Regulation (DIAR) 58-12 (Intelligence Collection—HUMINT Operational Coordination). Cited in paragraph 2-2d.

(U) DOD Directive 5105.29 (Intelligence Activities Using Human Sources). Cited in paragraphs 1-5b and 2-2k.


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Section II

(U) Related Publications

(U) AR 1-40 Clearance Requirements and Procedures for Official Temporary Duty Travel Outside Continental United States.
(U) AR 15-6 Procedures for Investigating Officers and Boards of Officers.
(U) AR 105-31 Record Communications.
(U) AR 140-1 Mission, Organization, and Training.
(U) AR 310-25 Dictionary of United States Army Terms.
(U) AR 340-21 The Army Privacy Program.
(U) AR 380-13 Acquisition and Storage of Information Concerning Non-affiliated Persons and Organizations.
(U) AR 381-20 U.S. Army Counterintelligence Activities.
(U) AR 381-102 Intelligence Operational Support Activities.
(U) AR 381-141 Provisions for Administration, Supervision, Control, and Use of Intelligence Contingency Funds.
(U) AR 381-143 Logistic Policies and Procedures.
(U) AR 630-5 All Ranks Personnel, Issue 8.

(U) DOD Directive 5240.1 Activities of DOD Intelligence Components that Affected United States Persons.
(U) FM 30-17A Counterintelligence Special Operations.
(U) FM 30-18 Intelligence Collection Operations.
(U) TM 11-490-2 Army Communications Facilities: Telecommunications Center Operating Procedures.

Section III

(U) Referenced Forms

A-2

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### Glossary

#### Section 1

**Abbreviations**

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<td>Army HUMINT Collection Plan</td>
</tr>
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<td>CIR</td>
<td>Continuing Intelligence Requirement</td>
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<tr>
<td>COMS</td>
<td>Collection Operations Management System</td>
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<tr>
<td>CONUS</td>
<td>Continental United States</td>
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<tr>
<td>DA</td>
<td>Department of the Army</td>
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<tr>
<td>DCI</td>
<td>Director Central Intelligence</td>
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<tr>
<td>DCSINT</td>
<td>Deputy Chief of Staff for Intelligence</td>
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<td>DIA</td>
<td>Defense Intelligence Agency</td>
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<td>DIS</td>
<td>Defense Investigative Service</td>
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<tr>
<td>DOD</td>
<td>Department of Defense</td>
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<tr>
<td>FBI</td>
<td>Federal Bureau of Investigation</td>
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<tr>
<td>FMA</td>
<td>Foreign Material Acquisition</td>
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<td>FMPCP</td>
<td>Foreign Military Personnel Contact Program</td>
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<td>FORMICA</td>
<td>Foreign Military Intelligence Collection Activities</td>
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<td>FORSCOM</td>
<td>U.S. Army Forces Command</td>
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<td>GDIP</td>
<td>General Defense Intelligence Program</td>
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<tr>
<td>GENSER</td>
<td>General Service (communications channel)</td>
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<td>HCP</td>
<td>HUMINT Collection Plan</td>
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<td>Human Resources Intelligence</td>
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<td>Intelligence Collection Requirement</td>
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<td>IIR</td>
<td>Intelligence Information Report</td>
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<td>INSCOM</td>
<td>U.S. Army Intelligence and Security Command</td>
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<td>IOP</td>
<td>Intelligence Operational Proposal</td>
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<td>MAAG</td>
<td>Military Assistance Advisory Group</td>
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<td>Major Army command</td>
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<td>MCR</td>
<td>Mission Change Report</td>
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<td>military intelligence</td>
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<td>MOU</td>
<td>Memorandum of Understanding</td>
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<td>NOFT/C</td>
<td>Notification of Foreign/Travel Contact</td>
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<tr>
<td>OCONUS</td>
<td>outside continental United States</td>
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<td>OS</td>
<td>On-site operation</td>
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Glossary 1
Section II

(U) Terms

(U) Overt operations
Methods of collection where by the source of the information being collected is advised, or is otherwise aware, that he is providing such information to the Department of Defense or a component thereof.

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General, United States Army
Chief of Staff

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MILTON H. HAMILTON
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