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Department of Defense INSTRUCTION

NUMBER S-3325.07

June 22, 2009

USD(I)

SUBJECT: Guidance for the Conduct of DoD Human Source Validation (U)

- References:**
- (a) (U) DoD Directive 5143.01, "Under Secretary of Defense for Intelligence (USD(I)), November 23, 2005
 - (b) (U) Under Secretary of Defense for Intelligence Memorandum, "Guidance for Defense Human Intelligence (HUMINT) and Counterintelligence (CI) Source Registration and Deconfliction," August 13, 2005 (hereby canceled)
 - (c) (U) National HUMINT Manager Directive 001.08, "HUMINT Source Validation," January 18, 2008
 - (d) (U) Intelligence Community Policy Memorandum 2006-300-1, "Validation of National Intelligence Community Human Intelligence Sources," January 12, 2007
 - (e) (U) DoD Directive S-5200.37, "Management and Execution of Defense Human Intelligence (HUMINT) (U)," February 9, 2009
 - (f) (U) DoD 8910.1-M, "Department of Defense Procedures for Management of Information Requirements," June 30, 1998

1. (U) PURPOSE. This Instruction:

a. (U) Establishes policy, assigns responsibilities, and provides procedures for DoD human source validation methods, standards, and issuance of source validation requirements for DoD Components in accordance with the authority in DoD Directive (DoDD) 5143.01 (Reference (a)).

b. (U) Incorporates policy and cancels Under Secretary of Defense for Intelligence (USD(I)) Memorandum (Reference (b)).

2. (U) APPLICABILITY. This Instruction:

a. (U) Applies to OSD, the Military Departments, the Office of the Chairman of the Joint Chiefs of Staff and the Joint Staff, the Combatant Commands, the Office of the Inspector

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Reason: 1.4(a)

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General of the Department of Defense, the Defense Agencies, the DoD Field Activities, and all other organizational entities within the Department of Defense (hereafter referred to collectively as the "DoD Components").

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c. (U) Does not apply to purely liaison contacts or investigative sources used in counterintelligence (CI) investigations.

3. (U) DEFINITIONS. See Glossary.

4. (U) POLICY. It is DoD policy that:

a. (U) The Department of Defense has a comprehensive source validation program that ensures all DoD human sources are vetted according to National Human Intelligence (HUMINT) Manager Directive 001.08 (Reference (c)) and the Intelligence Community Policy Memorandum 2006-300-1 (Reference (d)).

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5. (U) RESPONSIBILITIES. See Enclosure 1.

6. (U) PROCEDURES. See Enclosure 2.

7. (U) INFORMATION REQUIREMENTS. Reporting requirements referred to in this Instruction are exempt from licensing in accordance with paragraphs C4.4.2.-C4.4.4. of DoD 8910.1-M (Reference (f)).

8. (U) RELEASABILITY. RESTRICTED. This Instruction is approved for restricted release. Authorized users may obtain copies on the SECRET Internet Protocol Router Network from the DoD Issuances Web Site at <http://www.dtic.smil.mil/whs/directives>.

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9. (U) EFFECTIVE DATE. This Instruction is effective immediately.



James R. Clapper, Jr.

Under Secretary of Defense for Intelligence

(U) Enclosures

1. (U) Responsibilities
 2. (U) Procedures
 3. (U) Source Descriptions
- (U) Glossary

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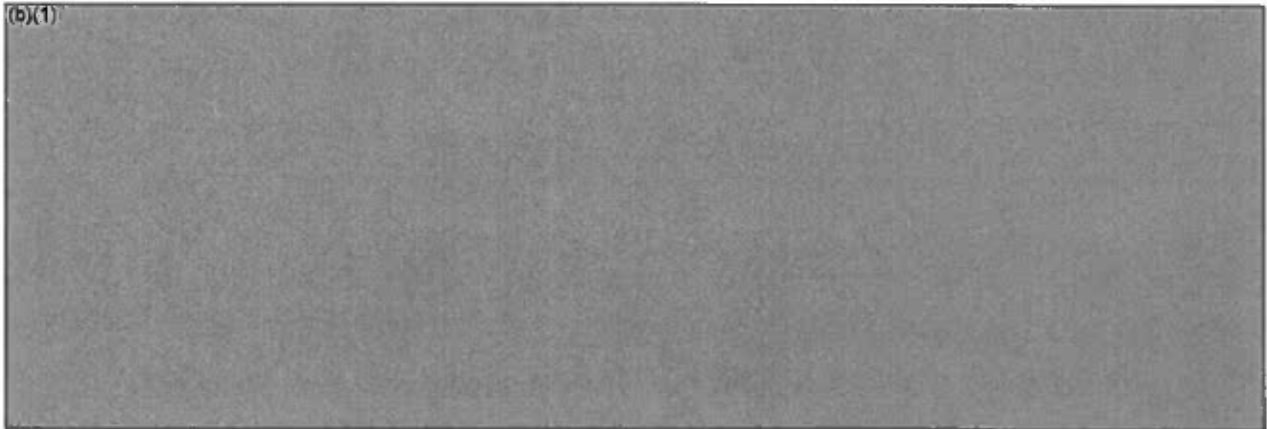
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ENCLOSURE 1

RESPONSIBILITIES (U)

1. (U) USD(I). The USD(I) shall:
 - a. (U) Periodically review DoD Component source validation programs for compliance with USD(I) policy.
 - b. (U) Oversee all source validation guidelines and instructions issued by DoD Components.
 - c. (U) Provide guidance to DoD Components to share source validation methodologies with each other to capitalize on best practices and lessons learned.
2. (U) DIRECTOR, DEFENSE INTELLIGENCE AGENCY (DIA). The Director, DIA, under the authority, direction, and control of the USD(I), through the Director, Defense CI and HUMINT Center (DCHC), shall:
 - a. (U) Provide source validation guidance to the DoD Components.
 - b. (U) Establish DoD CI and HUMINT source validation core training standards.
 - c. (U) Provide guidance to the DoD Components to share source validation methods, best practices, and lessons learned.
 - d. (U) Enforce use of standard source descriptions listed in Enclosure 3 to report all human source-derived intelligence.
3. (U) HEADS OF THE DoD COMPONENTS. The Heads of the DoD Components shall:

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- d. (U) Designate a source registry compatible with IDSRS.
- e. (U) Register all sources in their designated source registries.
- f. (U) Conduct periodic source validation review using appropriate operational and technical methods including, but not limited to, those in Appendix A of Enclosure 2.
- g. (U) Conduct initial and periodic refresher training, in accordance with established core training standards, for all personnel performing source validation functions.
- h. (U) Implement standard source descriptions listed in Enclosure 3 for all human source-derived intelligence reporting.
- i. (U) Share source validation methodologies with other DoD Components to capitalize on best practices and lessons learned through exchanges and liaison.

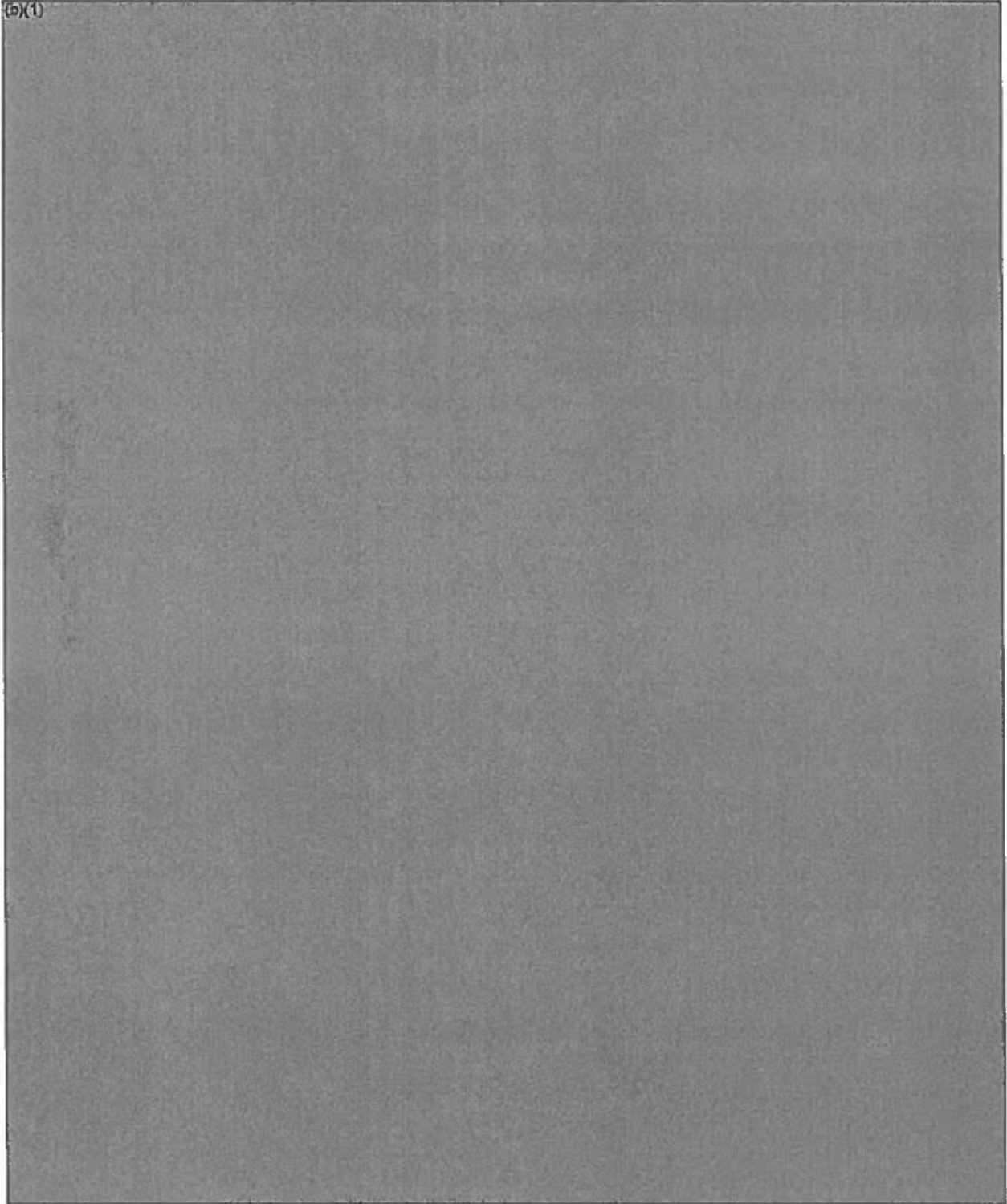
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ENCLOSURE 2

PROCEDURES (U)

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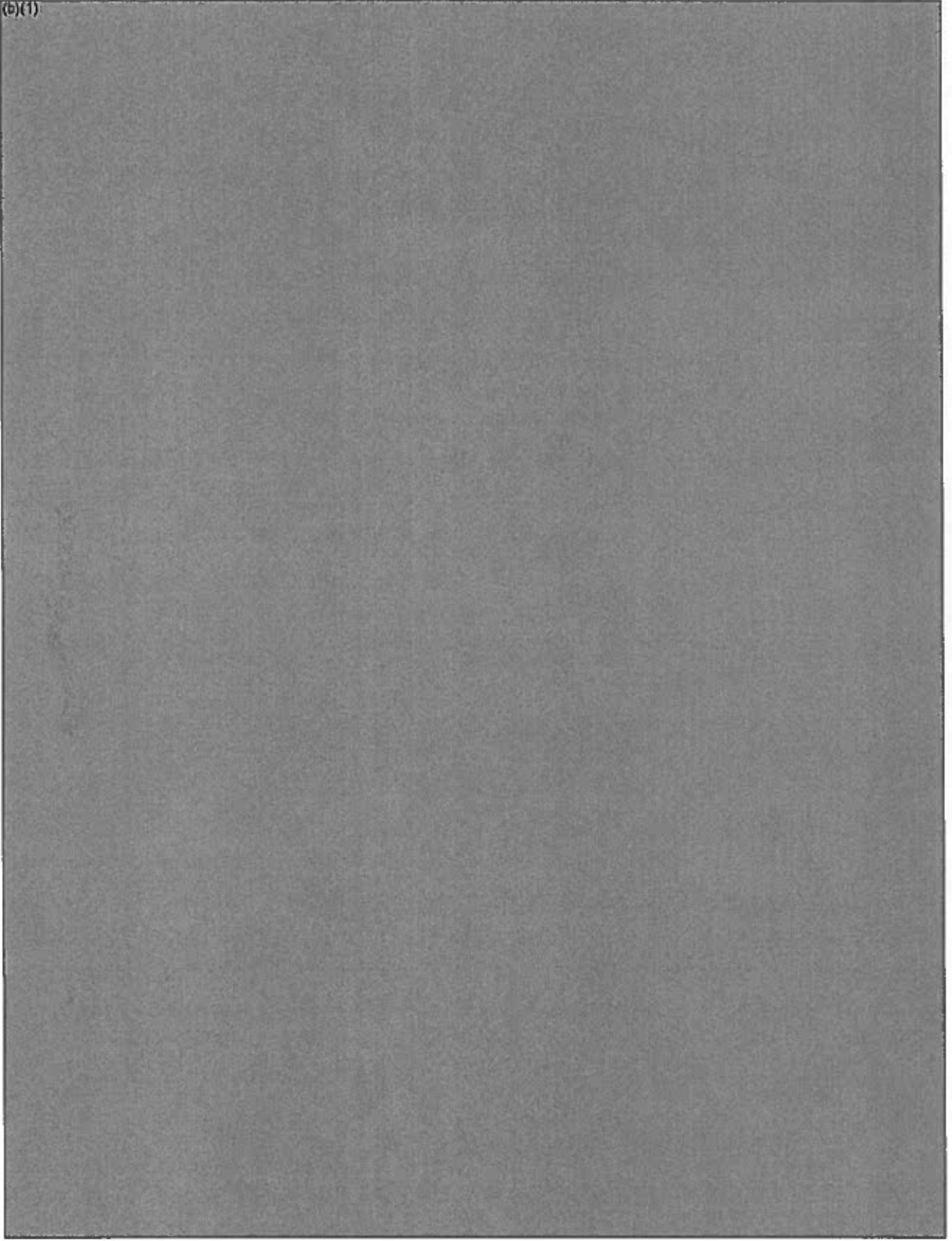
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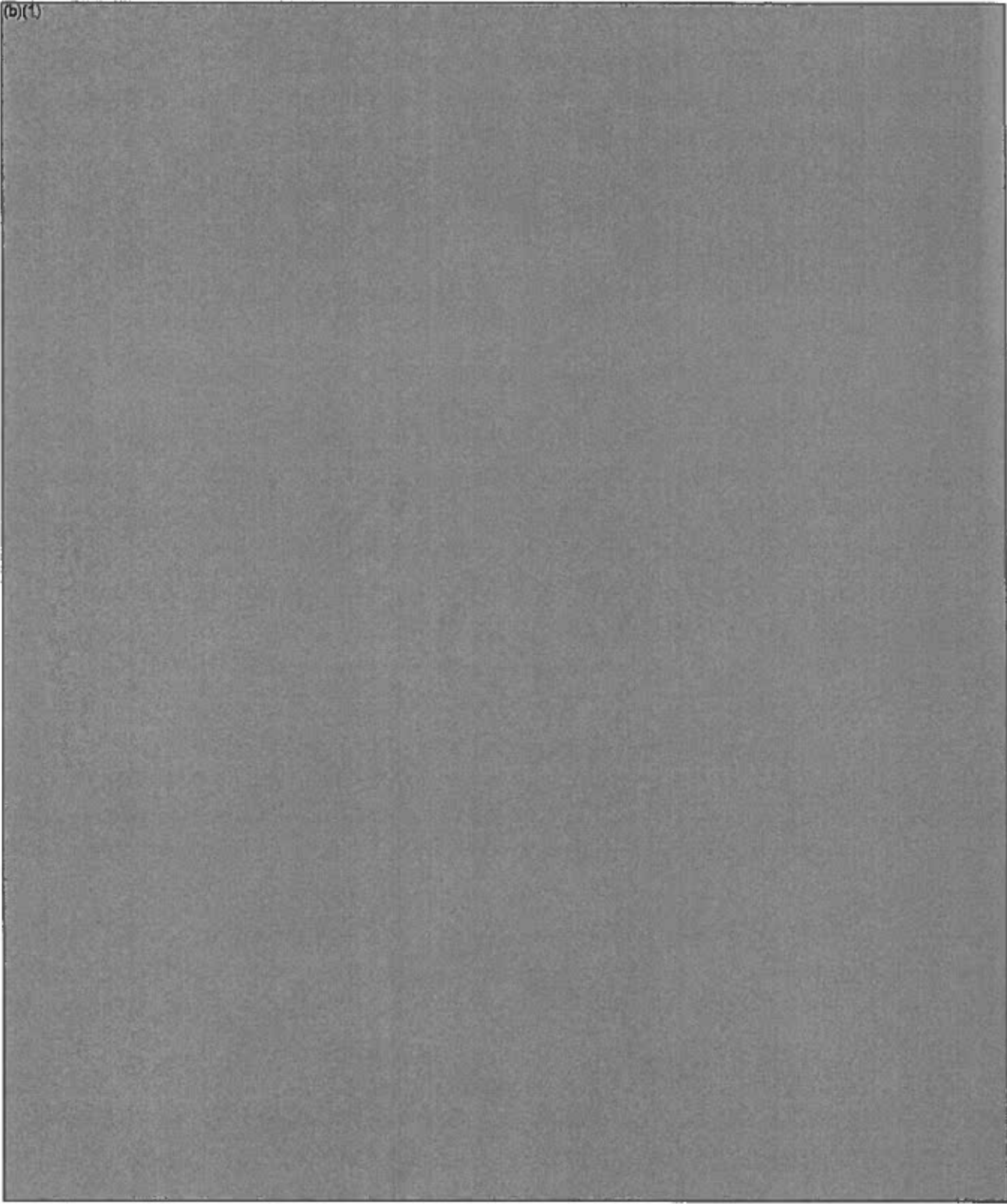
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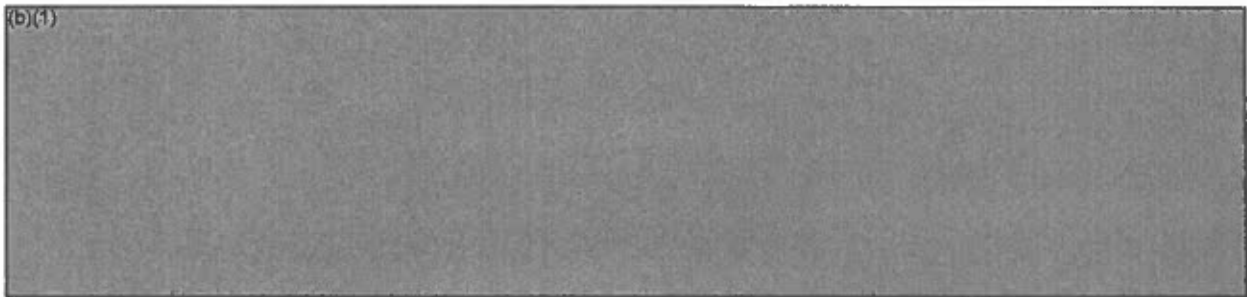
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APPENDIX A TO ENCLOSURE 2

OPERATIONAL TESTS (U)

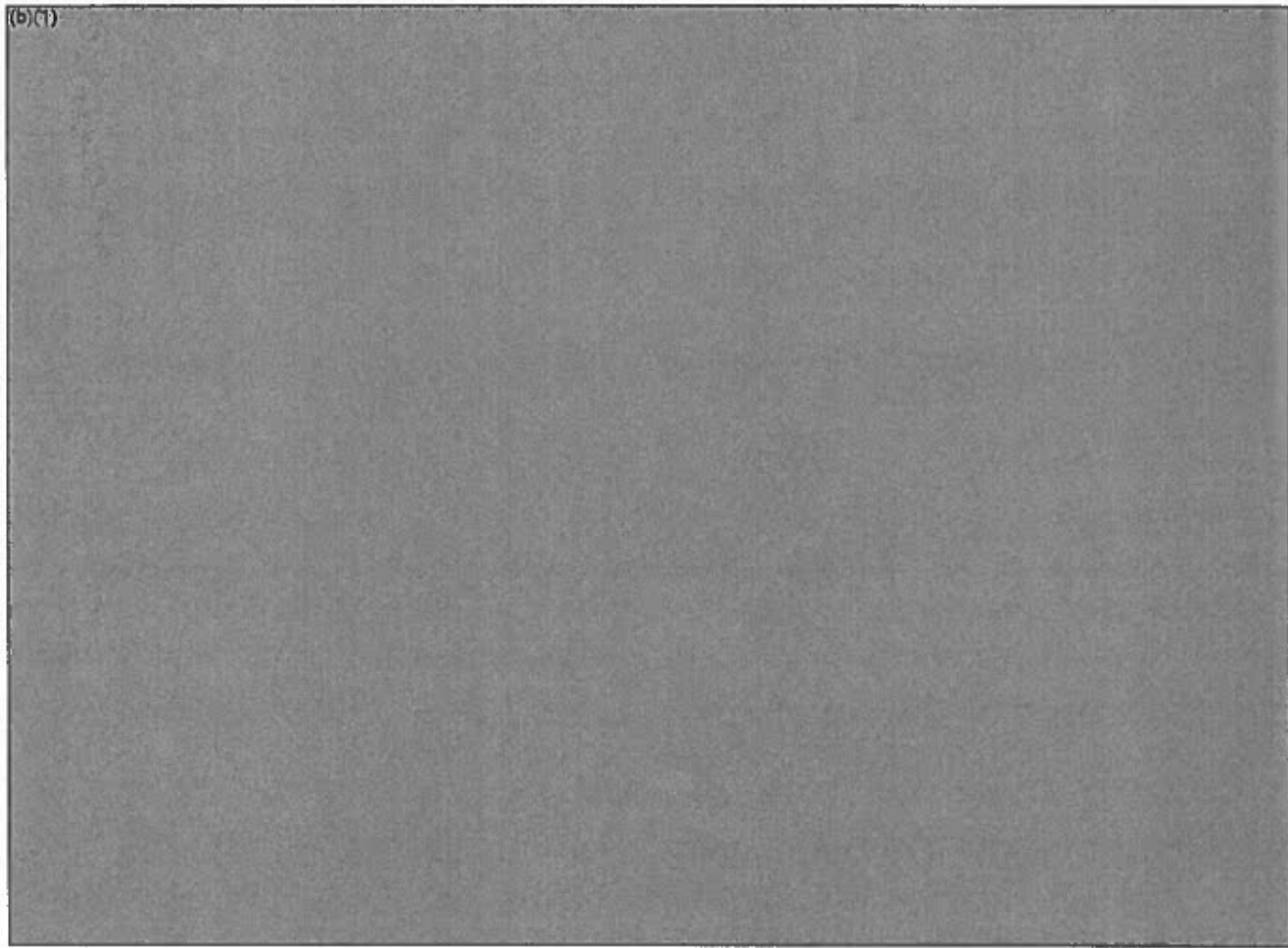
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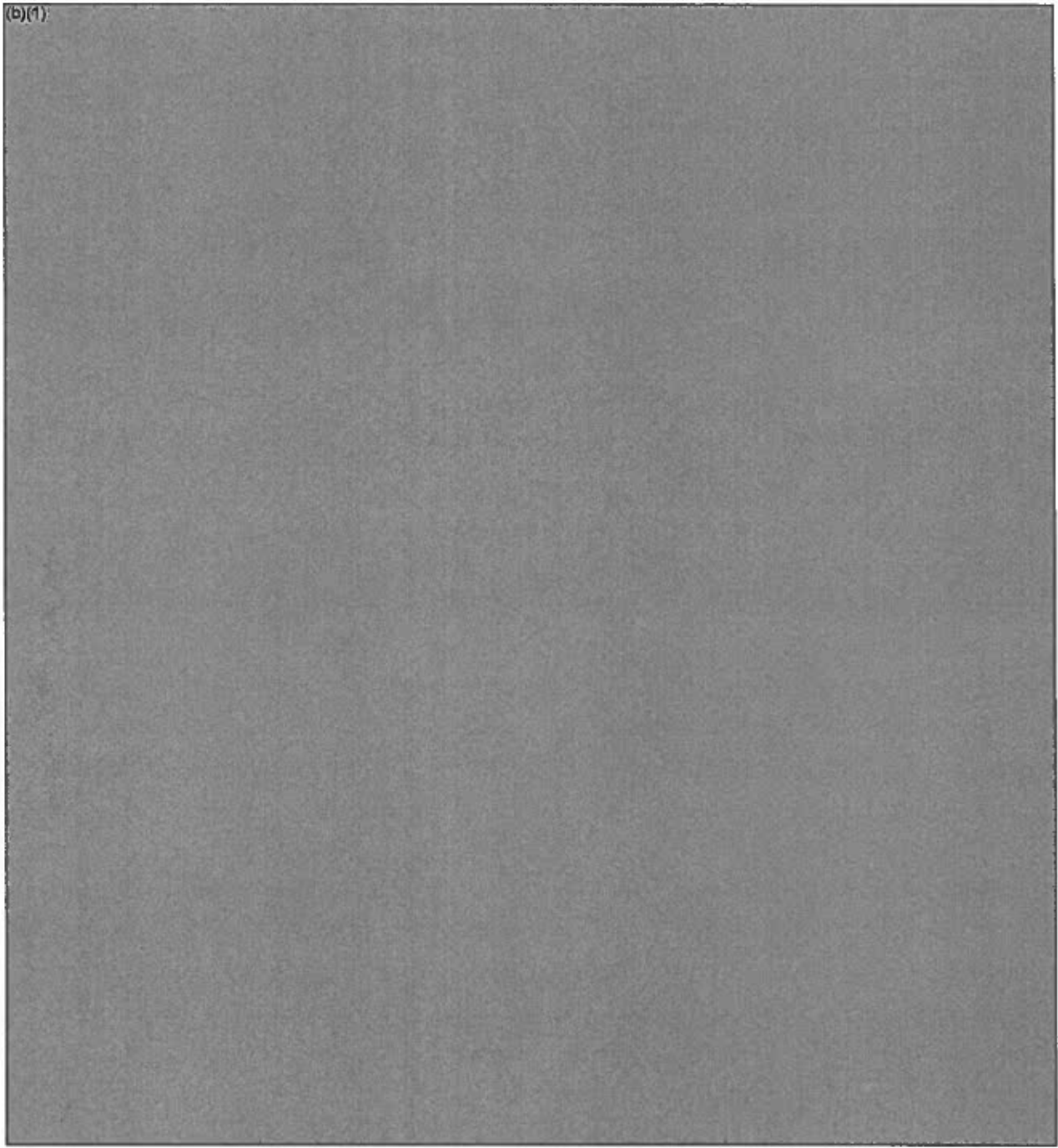
2. (U) **SAMPLE TESTS**. The sequence of these samples is not an indicator of precedence or difficulty of specific operational tests, nor is it intended to be used as a means to institute a checklist that should be followed in a specific order. Operational tests may include, but are not limited to:

a. (U) **Source Handler**

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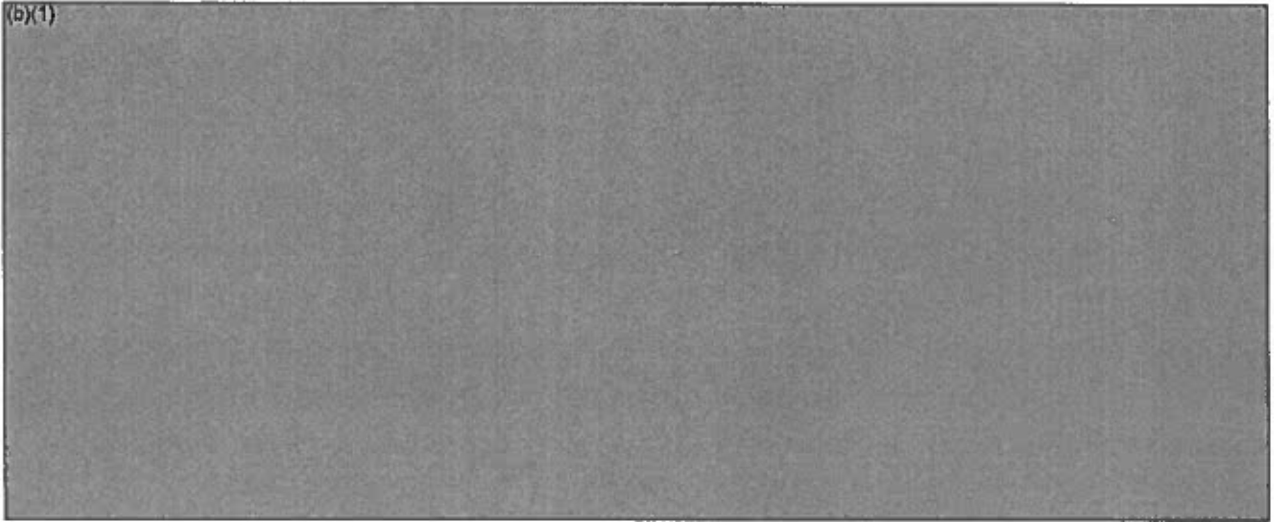


b. (U) Use of Collaborators (Other Sources or Source Handlers)

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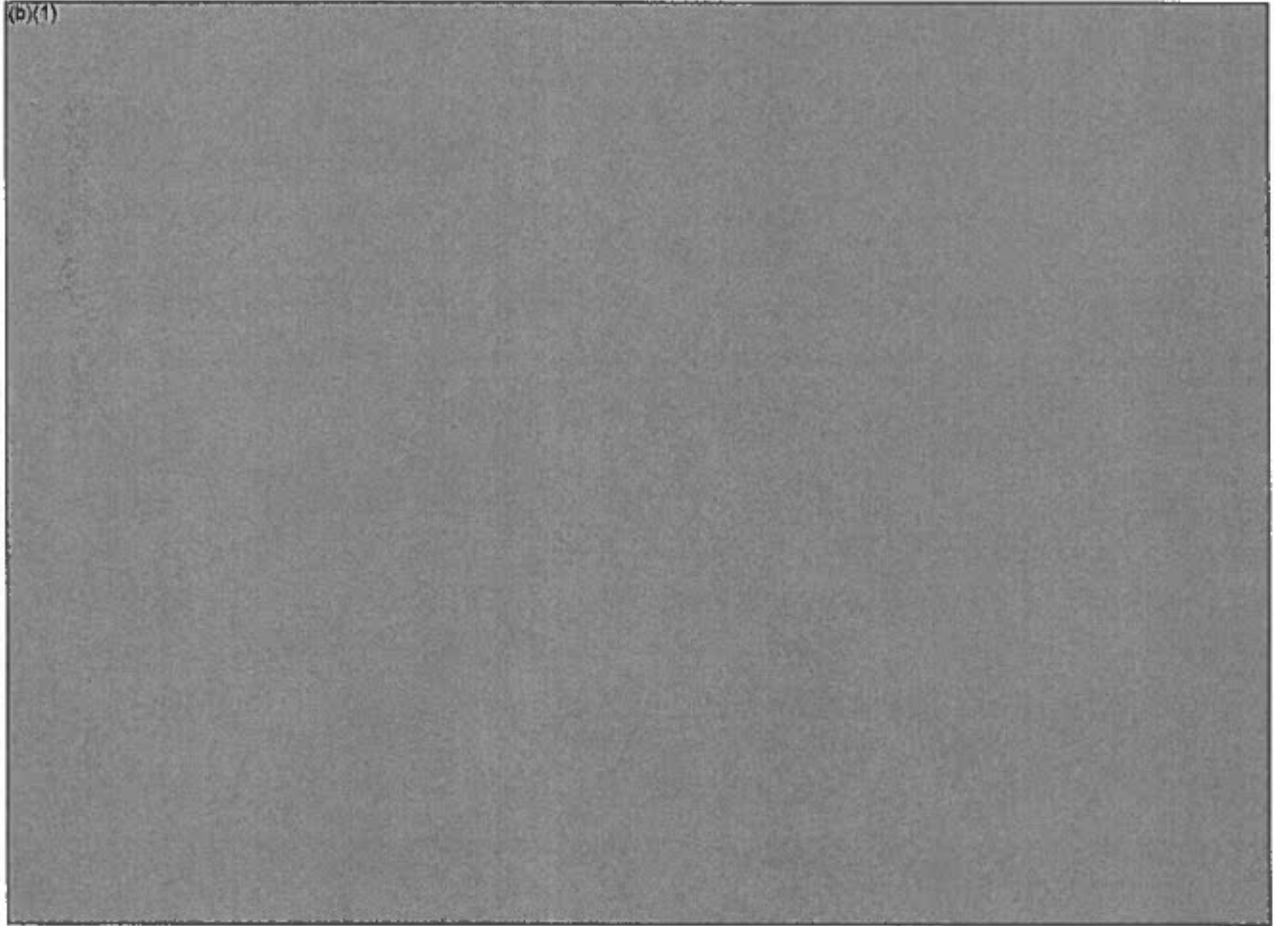


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c. (U) Use of Technology

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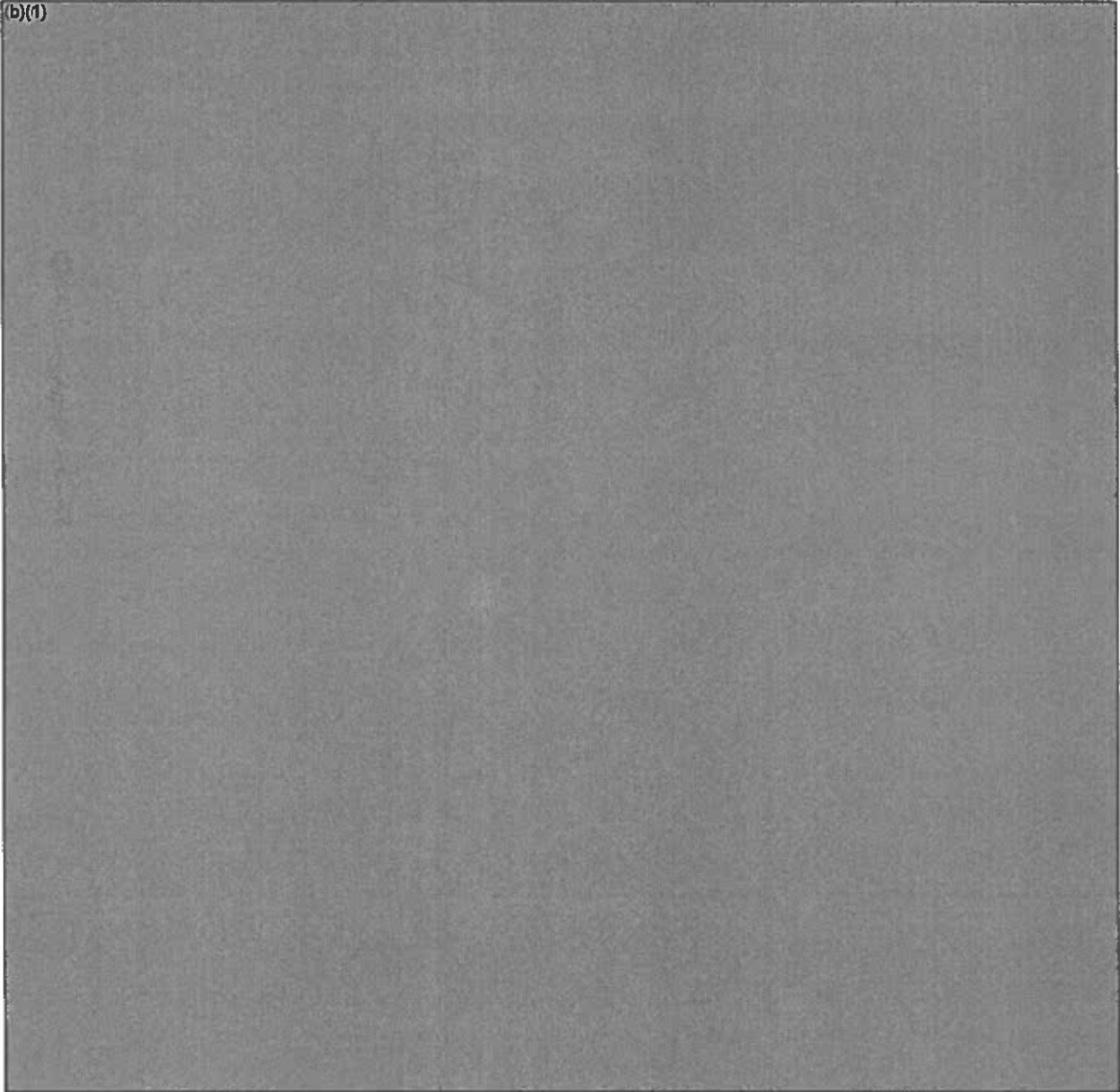


APPENDIX B TO ENCLOSURE 2

CI FLAGS (U)

(U) CI flags and indicators should alert a source handler to suspicious action that may bring the source's bona fides into question. The numbering sequence of these flags and indicators is not an indicator of precedence, nor is it intended to be used as a means to institute a checklist that should be followed in a specific order. This list of CI flags is not all-inclusive.

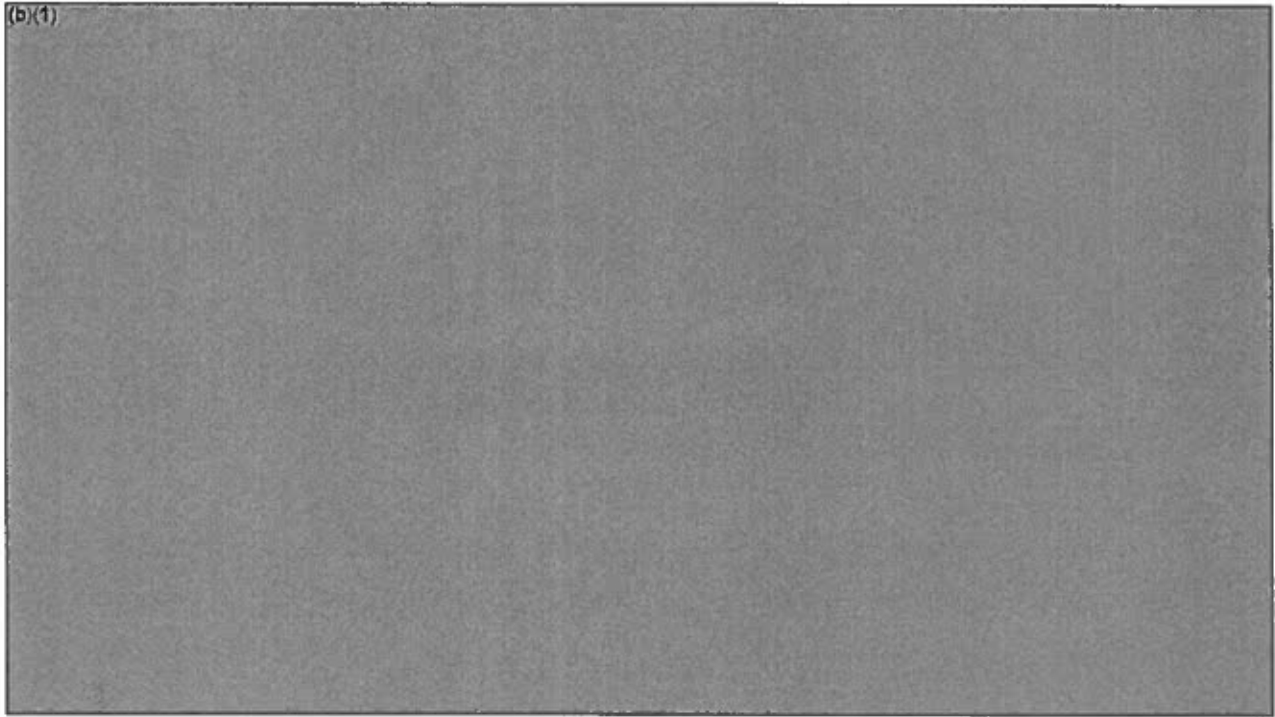
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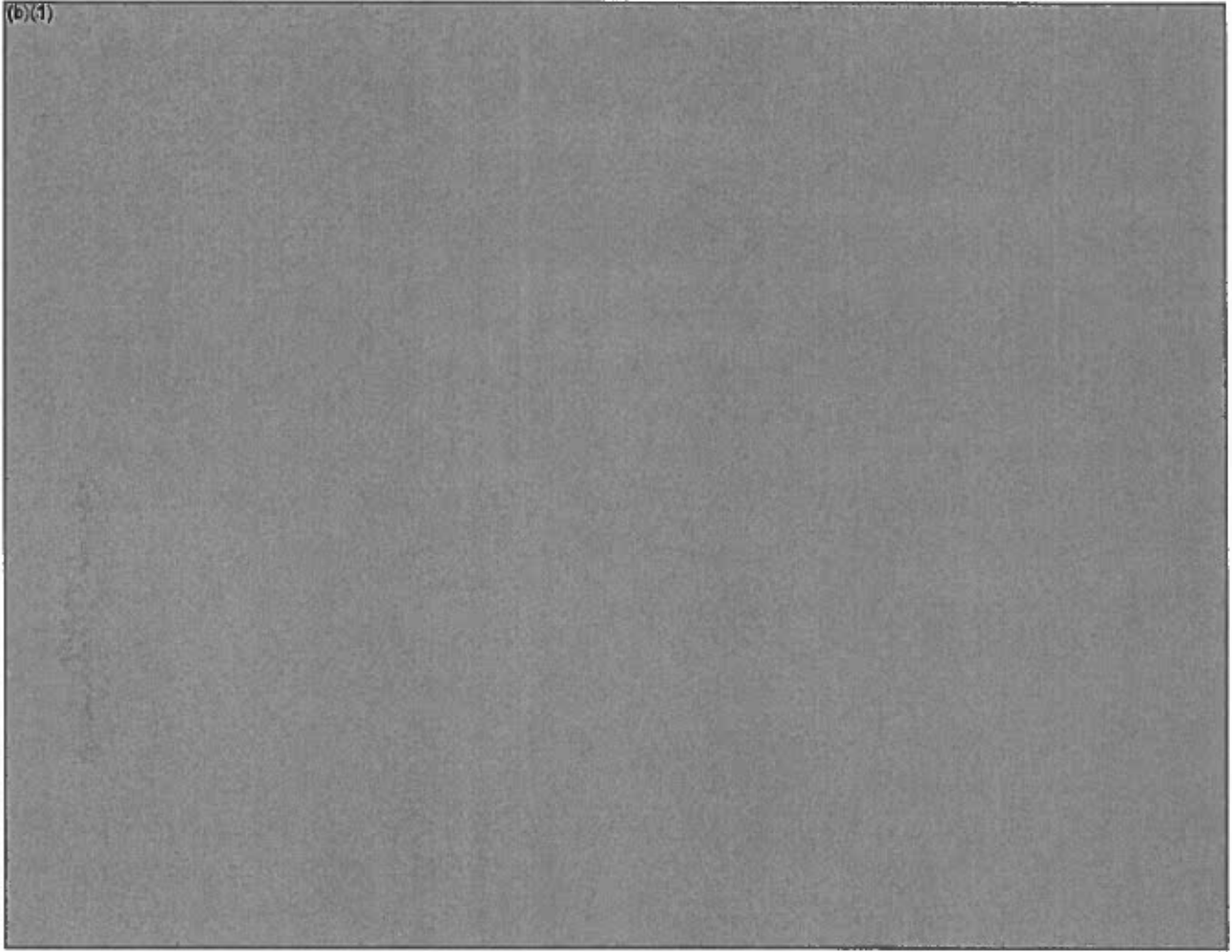
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ENCLOSURE 3

SOURCE DESCRIPTIONS (U)

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GLOSSARY

PART 1. ABBREVIATIONS AND ACRONYMS (U)

These abbreviations and acronyms are UNCLASSIFIED.

CI	counterintelligence
DCHC	Defense Counterintelligence and Human Intelligence Center
DIA	Defense Intelligence Agency
DSR	Defense Source Registry
GPS	global positioning system
HUMINT	human intelligence
IC	Intelligence Community
IDSRS	Integrated Defense Source Registry System
IIR	Intelligence Information Report
NNT	National Name Trace
USD(I)	Under Secretary of Defense for Intelligence

PART 2. DEFINITIONS (U)

(U) The following terms and their definitions are for the purpose of this Instruction:

(U) source. A person from whom information or services are obtained.



(U) vetting. As related to source validation, an ongoing process the purpose of which is to continually determine, by means of specific operational acts and analytic assessments, the motivation, veracity, and control of a reporting source.