

~~SECRET//NOFORN~~



Department of Defense DIRECTIVE

NUMBER S-3325.09

January 9, 2013

Incorporating Change 1, June 13, 2013

USD(I)

SUBJECT: (U) Oversight, Management, and Execution of Defense Clandestine Source Operations

References: (U) See Enclosure 1

I. (U) PURPOSE. This directive:

a. ~~(S//NF)~~

(b)(1), 1.4(c)

b. ~~(S//NF)~~

(b)(1), 1.4(c)

c. ~~(S//NF)~~

(b)(1), 1.4(c)

(1) ~~(S//NF)~~

(b)(1), 1.4(c)

(2) ~~(S//NF)~~

(b)(1), 1.4(c)

(3) ~~(S//NF)~~

(b)(1), 1.4(c)

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~~Classified by: CSD(t)~~
~~Reason: 1.4(a), 1.4(b)~~
~~Declassify on: April 27, 2027~~

d. ~~(S//NF)~~

(b)(1), 1.4(c)

[Redacted]

c. (U) Implements Intelligence Community Directive 304 (Reference (f)) guidance on the coordination and deconfliction of operations and activities that involve the use of clandestine methods.

2. (U) APPLICABILITY. This directive applies to OSD, the Military Departments, the Office of the Chairman of the Joint Chiefs of Staff (CJCS) and the Joint Staff, the Combatant Commands, the Office of the Inspector General of the Department of Defense, the Defense Agencies, the DoD Field Activities, and all other organizational entities within the Department of Defense (referred to collectively in this directive as the "DoD Components").

3. (U) POLICY. It is DoD policy that:

(b)(1), 1.4(c)

a. ~~(S//NF)~~

[Redacted]

(b)(1), 1.4(c)

(1) ~~(S//NF)~~

[Redacted]

(b)(1), 1.4(c)

(2) ~~(S//NF)~~

[Redacted]

(b)(1), 1.4(c)

(3) ~~(S//NF)~~

[Redacted]

(b)(1), 1.4(c)

[Redacted]

(b)(1), 1.4(c)

(a) ~~(S//NF)~~

[Redacted]

(b)(1), 1.4(c)

(b) ~~(S//NF)~~

[Redacted]

(b)(1), 1.4(c)

(c) ~~(S//NF)~~

[Redacted]

(b)(1), 1.4(c)

b. ~~(S//NF)~~

[Redacted]

(b)(1), 1.4(c)

c. ~~(S//NF)~~

[Redacted]

i. (U) To the extent that any of the provisions of this directive conflict with any of the provisions of Reference (g), the provisions of this directive take precedence.

4. (U) RESPONSIBILITIES. See Enclosure 2.

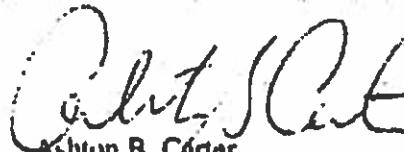
5. (U) SYNCHRONIZING AND COORDINATING CLANDESTINE HUMINT OPERATIONS. See Enclosure 3.

6. (U) RELEASABILITY. Restricted. This directive is approved for restricted release. Authorized users may obtain copies on the SECRET Internet Protocol Router Network from the DoD Issuances Website at <http://www.dtic.smil.mil/whs/directives>.

7. (U) EFFECTIVE DATE. This directive:

a. (U) Is effective January 9, 2013.

b. (U) Must be reissued, cancelled, or certified current within 5 years of its publication in accordance with DoDI 5025.01 (Reference (p)). If not, it will expire effective January 9, 2023 and be removed from the DoD Issuances Website.



Ashton B. Carter
Deputy Secretary of Defense

Enclosures

1. (U) References

2. (U) Responsibilities

3. (U) Synchronizing and Coordinating Clandestine HUMINT Operations

Glossary

ENCLOSURE 1

REFERENCES

The reference titles in this enclosure are UNCLASSIFIED.

- (a) Secretary of Defense Memorandum, "Establishment of the Defense Clandestine Service (U)," April 20, 2012¹
- (b) DoD Directive O-5240.02, "Counterintelligence," December 20, 2007, as amended
- (c) DoD Instruction S-5240.09, "Offensive Counterintelligence Operations (OFCO) (U)," October 29, 2008
- (d) DoD Instruction S-5240.17, "Counterintelligence Collection," January 12, 2009
- (e) DoD Directive 5111.10, "Assistant Secretary of Defense for Special Operations and Low-Intensity Conflict (ASD(SO/LIC)), " March 22, 1995, as amended
- (f) Intelligence Community Directive 304, "Human Intelligence," March 6, 2008
- (g) DoD Directive S-5200.37, "Management and Execution of Defense Human Intelligence (HUMINT) (U)," February 9, 2009, as amended
- (h) DoD Directive 5105.21, "Defense Intelligence Agency (DIA)," March 18, 2008
- (i) Memorandum of Agreement Between the Attorney General and the Secretary of Defense, "Agreement Governing the Conduct of Defense Department Counterintelligence Activities In Conjunction with the Federal Bureau of Investigation (U)," April 5, 1979
- (j) Supplement to the 1979 Attorney General and Secretary of Defense Memorandum of Understanding, "Coordination of Counterintelligence Matters Between FBI and DoD," June 20, 1996
- (k) DoD Instruction S-5200.42, "Defense Human Intelligence (HUMINT) and Related Intelligence Activities (U)," December 8, 2009, as amended
- (l) DoD Instruction O-5100.93, "Defense Counterintelligence (CI) and Human Intelligence (HUMINT) Center (DCHC)," August 13, 2010
- (m) Memorandum of Agreement Between the Department of Defense and the Central Intelligence Agency, "Operational Activities," (with Annexes), July 20, 2005²
- (n) National HUMINT Manager Directive 006.08, "Intelligence Community Directive 304-- Human Intelligence: Clandestine Operational Coordination Procedures," July 29, 2008³
- (o) DoD Instruction S-3325.07, "Guidance for the Conduct of DoD Human Source Validation," June 22, 2009
- (p) DoD Instruction 5025.01, "DoD Directives Program," September 26, 2012
- (q) DoD Directive 5143.01, "Under Secretary of Defense for Intelligence (USD(I)), " November 23, 2005
- (r) DoD Directive 7045.14, "The Planning, Programming, and Budgeting System (PPBS)," May 22, 1984

¹ (U) Copies are available to authorized users via the Defense SECRET Internet Protocol Router Network (SIPRNET) [http://intelshare.intelink.sgov.gov/sites/usdi/Shared%20Documents/Key%20Documents/2012/SecDef%20Memo,%20Establishment%20of%20the%20DCS%20\(U\),%20Apr%2020,%202012.pdf](http://intelshare.intelink.sgov.gov/sites/usdi/Shared%20Documents/Key%20Documents/2012/SecDef%20Memo,%20Establishment%20of%20the%20DCS%20(U),%20Apr%2020,%202012.pdf)

² (U) Copies are available to authorized users on the Joint Worldwide Intelligence Communication System (JWICS) [http://usdi.dodha.ic.gov/usdi_docs/ksyre7/docs/keydocs/2012/SecDef%20Memo,%20Establishment%20of%20the%20DCS%20\(U\),%20Apr%2020,%202012.pdf](http://usdi.dodha.ic.gov/usdi_docs/ksyre7/docs/keydocs/2012/SecDef%20Memo,%20Establishment%20of%20the%20DCS%20(U),%20Apr%2020,%202012.pdf)

³ (U) Copies are available to authorized users on JWICS: <http://sharepoint.intelink.ic.gov/sites/pr/strategyplanspolicy/policy/default.aspx>

ENCLOSURE 2

(U) RESPONSIBILITIES

1. (U) USD(I). Pursuant to Reference (a), the USD(I):

a. (U) Oversees the DCS in accordance with Reference (g) and DoDD 5143.01 (Reference (q)).

(b)(1),1.4(c) b. ~~(S//NF)~~ [Redacted]

(b)(1),1.4(e) c. ~~(S//NF)~~ [Redacted]

d. (U) Advocates for DoD and national programmatic resources to satisfy national-level intelligence requirements in accordance with Reference (q) and DoDD 7045.14 (Reference (r)).

(b)(1),1.4(e) e. ~~(S//NF)~~ [Redacted]

(b)(1),1.4(c) f. ~~(S//NF)~~ [Redacted]

(b)(1),1.4(e) g. ~~(S//NF)~~ [Redacted]

(b)(1),1.4(c) h. ~~(S//NF)~~ [Redacted]

i. (U) On behalf of the Secretary and Deputy Secretary of Defense, approves exceptions to this directive, except as otherwise prohibited by law or Executive order.

2. (U) DIRECTOR, DIA. Under the authority, direction, and control of the USD(I) and in addition to the responsibilities in section 4 of this enclosure, the Director, DIA:

a. (U) Exercises authority, direction, and control over the DCS as an element of the DIA.

b. (U) Identifies resource needs of the DCS through both DoD and national processes for planning, programming, budgeting, execution, and staffing in accordance with Reference (r).

(b)(1), 1.4(c) o. ~~(S//NF)~~ [Redacted]

(b)(1), 1.4(c) d. ~~(S//NF)~~ [Redacted]

(b)(1), 1.4(c) e. ~~(S//NF)~~ [Redacted]

(b)(1), 1.4(c) f. ~~(S//NF)~~ [Redacted]

(b)(1), 1.4(c) (1) ~~(S//NF)~~ [Redacted]

(b)(1), 1.4(c) (2) ~~(S//NF)~~ [Redacted]

(b)(1), 1.4(c) (3) ~~(S//NF)~~ [Redacted]

(b)(1), 1.4(c) (4) ~~(S//NF)~~ [Redacted]

(b)(1), 1.4(c) (5) ~~(S//NF)~~ [Redacted]

(b)(1), 1.4(c) (6) ~~(S//NF)~~ [Redacted]

(b)(1), 1.4(c) (7) ~~(S//NF)~~ [Redacted]

3. (U) ASD(SO/LIC). Under the authority, direction, and control of the Under Secretary of Defense for Policy and in accordance with Reference (e), the ASD(SO/LIC):

(b)(1),1.4(c) a. ~~(S//NF)~~ [REDACTED]

(b)(1),1.4(c) b. ~~(S//NF)~~ [REDACTED]

(b)(1),1.4(c) c. ~~(S//NF)~~ [REDACTED]

(b)(1),1.4(c) d. ~~(S//NF)~~ [REDACTED]

(b)(1),1.4(c) e. ~~(S//NF)~~ [REDACTED]

4. (U) DoD COMPONENT HEADS. The DoD Component heads who are authorized to conduct HUMINT, CI, and special operations activities:

(b)(1),1.4(c) a. ~~(S//NF)~~ [REDACTED]

(b)(1),1.4(c) (1) ~~(S//NF)~~ [REDACTED]

(b)(1),1.4(c) (2) ~~(S//NF)~~ [REDACTED]

(b)(1),1.4(c) b. ~~(S//NF)~~ [REDACTED]

(b)(1),1.4(c) (1) ~~(S//NF)~~ [REDACTED]

(b)(1),1.4(c) (2) ~~(S//NF)~~ [REDACTED]

(b)(1), 1.4(c) c. ~~(S//NF)~~ [REDACTED]

(b)(1), 1.4(c) (1) ~~(S//NF)~~ [REDACTED]

(2) (U) Participate in the process described in section 1 of Enclosure 3 of this directive.

(b)(1), 1.4(c) (3) ~~(S//NF)~~ [REDACTED]

(b)(1), 1.4(c) (4) ~~(S//NF)~~ [REDACTED]

(b)(1), 1.4(c) (5) ~~(S//NF)~~ [REDACTED]

(b)(1), 1.4(c) 5. ~~(S//NF)~~ [REDACTED]

6. (U) SECRETARIES OF THE MILITARY DEPARTMENTS. In addition to the responsibilities in section 4 of this enclosure, the Secretaries of the Military Departments:

(b)(1), 1.4(c) a. ~~(S//NF)~~ [REDACTED]

(b)(1), 1.4(c) b. ~~(S//NF)~~ [REDACTED]

(b)(1), 1.4(c) 7. ~~(S//NF)~~ CJCS. [REDACTED]

ENCLOSURE 3

(U) SYNCHRONIZING AND COORDINATING CLANDESTINE HUMINT OPERATIONS

1. (U) SYNCHRONIZING CLANDESTINE HUMINT OPERATIONS

a. (U) The DHM annually convenes representatives from the DHE, who will prepare a product that:

(b)(1), 1.4(c) (1) ~~(S//NF)~~ [Redacted]

(b)(1), 1.4(c) (2) ~~(S//NF)~~ [Redacted]

(b)(1), 1.4(c) (3) ~~(S//NF)~~ [Redacted]

(b)(1), 1.4(c) b. ~~(S//NF)~~ [Redacted]

(1) (U) Review:

(b)(1), 1.4(c) (a) ~~(S//NF)~~ [Redacted]

(b) ~~(S//NF)~~ [Redacted]

(c) ~~(S//NF)~~ [Redacted]

(b)(1), 1.4(c) (2) ~~(S//NF)~~ [Redacted]

(b)(1), 1.4(c) (3) ~~(S//NF)~~ [Redacted]

(b)(1), 1.4(c) c. ~~(S//NF)~~ [Redacted]

2. (U) COORDINATING CLANDESTINE HUMINT ACTIVITIES

(b)(1), 1.4(c) a. ~~(S//NF)~~ [Redacted]

GLOSSARY

(U) PART I. ABBREVIATIONS AND ACRONYMS

(U) CI counterintelligence
(U) CIA Central Intelligence Agency
(U) CJCS Chairman of the Joint Chiefs of Staff

(U) DIA Defense Intelligence Agency
(U) DCS Defense Clandestine Service
(U) DHE Defense HUMINT Enterprise
(U) DHM Defense HUMINT Manager
(U) DoDD DoD directive
(U) DoDI DoD instruction

(b)(1),1.4(c) (U) FBI Federal Bureau of Investigation
(S//NF) [REDACTED]

(U) HUMINT human intelligence

(b)(1),1.4(c) (C) [REDACTED]

(U) SOF special operations forces

(U) USD(I) Under Secretary of Defense for Intelligence
(U) USD(P&R) Under Secretary of Defense for Personnel and Readiness
(U) USG United States Government
(U) USSOCOM United States Special Operations Command

(U) PART II. DEFINITIONS

(U) These terms and their definitions are for the purpose of this directive.

(b)(1),1.4(c) (S//NF) [REDACTED]

(b)(1),1.4(c) (S//NF) [REDACTED]

(b)(1).1.4(c)

[REDACTED]

(U) coordination. The process of sharing operational information and deconflicting activities prior to undertaking a proposed action. Coordination does not require approval or disapproval of the proposed action. See References (f), (m), and (n) for more information.

(U) counterintelligence. Information gathered and activities conducted to identify, deceive, exploit, disrupt, or protect against espionage, other intelligence activities, sabotage, or assassinations conducted for or on behalf of foreign powers, organizations, or persons, or their agents, or international terrorist organizations or activities.

(U) cover. A protective guise used by a person, organization, or installation to conceal true affiliation with clandestine or other sensitive activities.

(b)(1).1.4(c)

(S) [REDACTED]

(U) DHE. The collective of DoD organizations authorized to conduct HUMINT and related intelligence activities under the centralized management of the DHM.

(U) Defense HUMINT Executor. The senior DoD intelligence officials as designated by the heads of each of the DoD Components who are authorized to conduct HUMINT and related intelligence activities.

(b)(1).1.4(c)

(S) [REDACTED]

(b)(1).1.4(c)

(TS//NF) [REDACTED]

(b)(1).1.4(c)

(S//NF) [REDACTED]

(b)(1).1.4(e)

(TS) [REDACTED]

(U) HUMINT operations. Intelligence activities, including military source operations, the primary purpose of which is to obtain foreign intelligence information collected and provided by human sources.

(U) intelligence requirement. A requirement for intelligence to fill a gap in the command's knowledge or understanding of the operational environment or threat forces.

(U) military source operations. DoD HUMINT collection and operations focused on foreign military and military-related intelligence conducted under the authorities of the Secretary of Defense. Military source operations are conducted by appropriately trained and certified personnel under the control of a Defense HUMINT Executor.

(b)(1), 1.4(c) ~~(S//NF)~~ [Redacted]

(b)(1), 1.4(c) ~~(S//NF)~~ [Redacted]

(b)(1), 1.4(c) ~~(S//NF)~~ [Redacted]

(b)(1), 1.4(c) ~~(S//NF)~~ [Redacted]

(b)(1), 1.4(c) ~~(S//NF)~~ [Redacted]

(b)(1), 1.4(c) ~~(S//NF)~~ [Redacted]

(b)(1), 1.4(c) ~~(S//NF)~~ [Redacted]

(b)(1), 1.4(c) ~~(S//NF)~~ [Redacted]

(b)(1), 1.4(c) ~~(S//NF)~~ [Redacted]

(b)(1), 1.4(c) ~~(S//NF)~~ [Redacted]

(b)(1), 1.4(c) ~~(S//NF)~~ [Redacted]

(U) special operations activities. Activities that include each of the following insofar as it relates to special operations: direct action, strategic reconnaissance, unconventional warfare, foreign internal defense, civil affairs, psychological operations, counterterrorism, humanitarian assistance, theater search and rescue, and such other activities as may be specified by the President or the Secretary of Defense.

(U) source. A person, thing, or activity from which information or services are obtained.

(b)(1), 1.4(c) ~~(S//NF)~~ [Redacted]