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were all volunteers and deserved to know what kind of a future they were preparing to fight for. Furthermore, the FRD needed a chance to develop the loyalty of the troops who were presumably to install and protect its leaders on Cuban soil as members of a provisional government.

37. This was one example of a high-handed attitude toward Cubans that became more and more evident as the project progressed. Cubans were the basic ingredient for a successful operation and, although the aim of having the exiles direct activities was probably idealistic and unattainable, nevertheless the Agency should have been able to organize them for maximum participation and to handle them properly to get the job done.

An American Operation

- 38. But with the Americans running the military effort, running Radio Swan, and doing unilateral recruiting, the operation became purely an American one in the exile Cuban mind, and in the public mind as well. In by-passing the Cubans the Agency was weakening its own cover.
- 39. The official attitude which produced this situation is reflected in the project's progress reports. In November a report noted that the Agency had "plenty of flexibility to choose the Cuban group we would eventually sanction as a provisional government." A January report indicated that the Agency, rather than the Cubans, was making the plans and decisions: "We have

charted five different lists of proposed assignments for any future provisional government of Cuba and are compiling biographic data on those Cubans who might be utilized by us in forming a future Cuban government."

40. The crowning incident which publicly demonstrated the insignificant role of the Cuban leaders and the contempt in which they were held occurred at the time of the invasion. Isolated in a Miami safe house, "voluntarily" but under strong persuasion, the Revolutionary Council members awaited the outcome of a military operation which they had not planned and knew little about while Agency-written bulletins were issued to the world in their name.

41. They had not been puppets in the early days of the project. Some of the Cubans had drawn up detailed operational plans for resistance in areas of Cuba that they knew intimately; others provided cover and support. One wealthy exile even voluntarily went through the assessment routine at Useppa Island along with the young trainees. They had reason to feel that the project was in the nature of a joint venture, at least.

The Military Emphasis

42. But when the project began to shift from a clandestine operation to a military operation, Cuban advice and participation no longer seemed necessary. Cubans who up to about

November 1960 had been close to some of the plans and operations were cut out. To the military officers on loan to the

project, the problem was a military one, and their attitude was "to hell with the Revolutionary Council and the political side."

43. The paramilitary and the political action sections of WH/4 were not in effective touch with each other; in effect, they treated their tasks as unrelated, and this was reflected in the field. The diminished relationships with the Cuban leaders were a measure of the extent to which people in the project became carried away by a military operation.

44. The effective utilization of Cubans and cooperation with them was also hampered to some extent because many of the project officers had never been to Cuba, did not speak Spanish, and made judgments of the Cubans on very slim knowledge. (A notable exception was the propaganda section, which was well qualified in this respect.) They considered the Cubans untrustworthy and difficult to work with. Members of the Revolutionary Council have been described to the inspectors as "idiots" and members of the brigade as "yellow-bellied."

45. However, many staff employees in the project realized that the Cubans would have to be dealt with realistically and allowances made for their differences and weaknesses. In some instances, case officers achieved quite remarkable rapport with the Cubans they were handling. These officers were ones

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who had had considerable experience in dealing with foreign nationals in various parts of the world, and the results showed it.

Dealing with Cubans

- 46. Some military officers on loan to the project were less successful in dealing with Cubans. They simply gave military orders to these foreign nationals and expected to be obeyed.
- 47. Some of the contract employees, such as ships' officers, treated the Cubans like dirt. This led to revolts, mutinies, and other troubles. Some very able Cubans withdrew from the project because of the way they were treated.
- 48. The inspecting team has received a definite impression that this operation took on a life of its own, that a number of the people involved became so wrapped up in the operation as such that they lost sight of ultimate goals.
- 49. There is a substantial question whether any operation can be truly successful when the attitudes toward the other people are so unfavorable. There does not seem to be much excuse for not being able to work with Cubans. If this nationality is so difficult, how can the Agency possibly succeed with the natives of Black Africa or Southeast Asia?
- 50. The Agency, and for that matter, the American nation is not likely to win many people away from Communism if the Americans treat other nationals with condescension or contempt,

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ignore the contributions and the knowledge which they can bring to bear, and generally treat them as incompetent children whom the Americans are going to rescue for reasons of their own.

J. CLANDESTINE PARAMILITARY OPERATIONS -- AIR

- 1. The first attempt at a clandestine air drop over Cuba took place on 28 September 1960. (By coincidence this was the same night as the first maritime operation.) A 100-man arms pack was dropped for an agent rated as having considerable potential as a resistance leader. The crew missed the drop zone by seven miles and dropped the weapons on a dam. Castro forces scooped them up, ringed the area, caught the agent and later shot him. The airplane got lost on the way back to Guatemala and landed in Mexico. It is still there.
- 2. This operation might have indicated an unpromising future for air drops. In fact, its failure was influential in persuading the chiefs of the project of the futility of trying to build up an internal resistance organization by clandestine means, and within the next few weeks the operational emphasis was beginning its fateful swing toward the overt strike-force concept. To this extent the portent of failure was heeded, but it did not suffice either to halt the air drops or to ensure arrangements for their success. The attempts went on and on with results that were mostly ludicrous or tragic or both.
- 3. On 26 December 1960, Headquarters received word that a Cuban agent, who had been given Agency training in this country, wanted an air drop of not more than 1,500 pounds of demolition and sabotage material and weapons. He clearly specified the

layout and the location of the drop zone, and also the amounts and kinds of materiel desired. WH/4 cabled this requirement to the air base in Guatemala, where all the flights originated. However, the Development Projects Division (DPD) then cabled Guatemala that arms and ammunition would be dropped with food to make a maximum load, also 200 pounds of leaflets for a drop elsewhere. This cable was not coordinated with WH/4, which sent a message to the agent the following day stating that a cargo drop would take place as requested and that the weight would be 1,500 pounds.

Rice and Beans

- 4. A drop was made on 31 December. The 15-man reception team received, not only 1,500 pounds of material which was different from the original request because the specific items could not be packed in waterproof containers in time, but also 800 pounds of beans, 800 pounds of rice and 160 pounds of lard.
- 5. This was the only drop to this Cuban agent. He was so vexed with the drop that he came out of Cuba specifically to make a complaint and to cancel a succeeding drop which had been planned. He stated that he would not accept another drop, no matter what the cargo was. He pointed out that the Agency had endangered his safety by dropping cargo which he had not asked for, did not need, and could not handle. Furthermore, the aircraft had stayed in the vicinity too long, had flown with its landing lights on, had circled around and made numerous U-turns and even dropped

propaganda leaflets on his property. He decided the Agency lacked the professional competence to make clandestine air drops.

6. This operation was recorded as "successful" by the Agency because cargo was actually delivered to the people it was meant for. There were <u>four</u> such "successes" in all, out of <u>30 missions flown</u> up to 21 April 1961. (The Fiscal Year 1961 budget called for <u>105</u> air drops.) The first of these took place on 30 December after numerous attempts beginning in mid-October. There were 13 unsuccessful attempts during January and February. The third success took place on 3 March, when three agents were dropped (previous attempts to drop them had been made on 7 February and 27 February). The fourth successful drop was on 29 March.

The Successful Drops

- 7. Except for the rice-and-beans drop, the successful drops were all to an agent who had been trained in air reception procedures by staff personnel at Headquarters.
- 8. The three cargo drops known to be successful were all made in the Pinar del Rio Province. In other words, practically all the supplies went to one small area of western Cuba. Small amounts are thought to have been received in Camaguey and Oriente, but none in Matanzas or Eavana. Ten missions were flown into the Escambray at the request of an agent who had no training in air reception. Twice the cargo was not dropped because the drop zone was not located, and once the plane turned back because of bad

weather. On the seven occasions cargo was dropped, it was either totally or in large part recovered by the Castro forces. Three times cargo was dropped blind, three times in the wrong place, and once on the drop zone when the reception committee was not there.

- 9. In all, about 151,000 pounds of arms, ammunition and equipment were transported by air. Not more than 69,000 pounds of this was actually dropped; the rest was returned to base. Of this 69,000 pounds, at least 46,000 pounds were captured by Castro forces, who recovered all or a large part of ten drops, compared with our agents, who recovered three. In other words, out of 75 tons which were air-lifted, paramilitary agents actually got about twelve (about enough to arm 300 men, figuring 7,500 pounds to a hundred-man pack).
- 10. Except for the one team, there were no clandestine personnel drops made or even attempted during the entire project.

Lack of Procedure

11. The agents on the ground did not have a standard procedure for air reception (most of them had not been trained). The locations of drop zones were variously and insufficiently described by coordinates, sketches, or azimuths. In two operations the requesting agents did not even have maps of their areas. In one of these WH/4 headquarters, DPD and Miami Base each arrived at a different set of coordinates from the reference points given.

In another case the coordinates given for a drop zone were in the ocean. Reception parties proposed to mark the drop zone with various bizarre and impractical patterns, such as: two red lights and one white light about 15 feet apart moving clockwise; an arrow 50 meters long with lights at two-meter intervals; lights in the form of a straight line with a sign in the middle lit up with Christmas lights (on this one, the crew at one point mistakenly identified cars on a road as the drop-zone signal); two crosses side by side; a triangle of three lights with a fourth light in the center. In some areas there were so many small lights in the vicinity that no pattern could be located. For one drop the agents made four proposals in rapid succession: no lights, a nine-man cross, a line of five bonfires, a 60-meter line of colored flash-lights.

- 12. The standard light patterns taught by paramilitary instructors and generally accepted as best, were (a) an "L" of 4 lights; (b) a "T" of 5 lights; and (c) a cross of 6 lights. All lights should be 15 to 25 yards apart, with one light different from the others.
- 13. The Cuban air crews must share the blame for the failures, as must their trainers. Policy did not allow American observers to go along on the missions to correct the errors. Pilot discipline was lacking and instructions were not followed in numerous instances.

- 14. For example, one air crew, under specific orders to abort the mission if the drop zone was missed on the initial run and not to search for it or circle around, made four passes four miles away, according to the ground report (which added, "Pilots drunk or crazy.").
- 15. Another crew commander, under orders not to drop unless the T pattern was positively identified, elected to drop without seeing the T because he had a "positive feeling" that he was over the drop zone. Another aircraft remained in the drop zone area 41 minutes before dropping cargo.

Headquarters Direction

- 16. The Headquarters direction of these air drops left much to be desired. DPD, which controlled the crews and planes, never had a representative physically assigned to WH/4, and the two activities were operating in a divided command situation on the basis of mutual cooperation rather than generally accepted management practice and military command principles.
- 17. Daily consultation proved impossible although there was a requirement of it. There was trouble on cover stories, on funding, on security, and on cables, among other things. It was difficult to determine where the responsibilities of one component ended and those of the other began.
- 18. The WH/4 paramilitary chief recommended that the DFD unit be assigned to the chief of the task force for integration within

his staff. But no action was ever taken, and the situation remained as described for the duration of the project.

- 19. WH/4 and DFD did not even agree on doctrine and techniques. In addition, all flight plans had to be personally reviewed and approved by the Deputy Director of Central Intelligence (DDCI) and by the 5412 Special Group. The requests for air drops came from Cuba by radio, secret writing or telephone to Miami and then were forwarded to WH/4 headquarters, which then put in an operational request to DFD, which in turn directed the Guatemala air base to mount the flight after approval had been given by DDCI.

 DFD could and did release its own cables, without coordination.
- 20. This cumbersome system was complicated even more by the scarcity of agent radio operators inside Cuba. Some of the arrangements had to be made by secret writing, which was not only slow but contributed to misunderstanding. Necessary last-minute changes of plan by the reception groups or air crews could not be communicated to each other.

Example of Confusion

- The drop finally accomplished on 30 December is an outstanding example of the confusion that prevailed.
- 22. WH/4 informed Havana that the drop would be made from 400 feet. DPD told the Guatemala Pase that the drop would be at 1,000 feet. Guatemala, on the other hand, felt that 600 feet would be best. WH/4 informed the agents that the aircraft definitely

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would make only one pass over the drop zone. But DFD authorized one 360° turn in order to make the drop good if the drop zone was not located on the initial run. (Actually, the crew made three passes.) This drop then failed (on 5 December) because the reception group understood that the plane would make only one pass, and turned off the lights when the plane came back for a second try. There was also confusion over the time of the drop and the number of bundles. The difficulties in arriving at an understanding among all parties concerned were so great that this operation, first planned for 22 October, was re-scheduled for 13 November, run on 5 December without dropping, then scheduled for 19 December. Then this had to be changed to 25 December and finally to 30 December.

23. For another operation WH/4 told Guatemala that the cargo should weigh 6,000 pounds, but DFD told Guatemala it could not be more than 4,000 or 5,000 pounds. The DFD message was not coordinated with WH/4, as Guatemala then pointed out.

24. Some of the techniques used by DPD were highly questionable. In one instance DPD told Guatemala that in the event the drop-zone lights were not seen by the crew the pilot should nevertheless drop his cargo on the drop zone as determined by dead reckoning. As it turned out, the reception group had dispersed after an encounter with a Cuban army patrol and was unable to be at the drop zone. The Castro forces then picked up at least half of the bundles dropped.

Supplies for Castro

- 25. In another case 1,600 pounds of food and materiel were dropped blind (in the dark of the moon) on each of four hilltops to a group which was known to be in such a precarious position that it was not able to stay in place long enough to lay out a drop zone. Again, the Castro forces got most of the load.
- 26. In still another, DFD told Guatemala that turns were allowed if the plane was not lined up on the initial run over the drop zone. The agents reported that the plane passed over twice without dropping and that this alerted the Castro army to attack the resistance group and to disperse it.
- 27. Once two planes were sent over the drop zone half an hour apart and allowed to make two passes each. Not surprisingly, 200 militia searched the area the next day and seized the cargo. The drop altitude for another operation was set at 4,000 feet. The pilot reported he had hit the drop zone from 3,500 feet, even though unable to recognize the marker, but there is evidence that the enemy got at least half the drop.
- 28. One aircraft received heavy fire and was damaged. Its crew thus learned the hard way that dropping leaflets first had helped to alert the area and recommended that in the future the cargo be dropped first. Miami Base pointed out to Headquarters that it was a mistake to drop heavy weapons before a group had a known capability of using them or had specifically requested them.

29. For a long time the results of the drops, as reported by the ground elements, were not forwarded to the air crews, who got no critiques but continued to report successes when in fact they were missing the drop zone by many kilometers.

Handling an Emergency

- 30. The handling of an emergency also left something to be desired. One of the planes had to land in Jamaica. The commander's phone call to an emergency number in Guatemala produced the reply, "Never heard of you."

 first heard of this landing from the beautiful produced the reply, who had assumed (wrongly) that had been advised by Headquarters.
- 31. In January 1961 Division D of the Agency's FI Staff made a study which raised pertinent questions about the air drops. The project's paramilitary staff made a study in March and concluded that the Cuban crews did not have sufficient experience or supervised training in clandestine paramilitary air operations to meet the project objectives and that they were too undisciplined to obey instructions or to make correct reports. This study recommended that contract American aircraft commanders be used, but it did not receive the approval of the paramilitary chief and went no further.
- 32. DPD also made an analysis in March and recommended certain overdue corrective action such as obtaining agent reports of drop results for prompt dispatch to the air base in Guatemala,

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critiques for each mission regarding compliance with instructions, elimination of blind drops, and better identification of drop zones. DPD cabled Guatemala on 7 March that an analysis of the mission results to date would be forwarded shortly to be used as a basis for refinement of tactics and improvement of coordination with the reception teams. And at the end of March a check pilot was included for the first time in a mission crew. He noted discrepancies in pilot procedure and crew coordination.

Tardy Corrective Action

33. These corrective actions came too late. The seeming inability to support resistance elements augmented the growing reliance being placed on the idea of an amphibious strike force to accomplish the objective; then, as the strike idea took over more and more, interest in clandestine drops decreased among officers in charge of the project. On or about 28 March a policy decision was made that there would be no more clandestine drops until after the amphibious assault. Inasmuch as the WH/4 case officers handling these drops were not informed as to the strike plan or the date, this posed a problem for them because 19 drops to specific drop zones were requested between 22 March and 19 April, and it was necessary to stall off the requests with such messages as:

"Don't give up hope. We'll drop as soon as we can."

"Regret unable mount BERTA. Definitely planning support your operation. Beg you understand our problems."

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But the agents had their own problems during this time:

"Unjust to delay operation so much. . .This is not a game."

"How long will I have to wait for the drop. The lives of peasants and students depend on you."

"Dear Allies: Arms urgent. We made a commitment. We have complied. You have not. If you have decided to abandon us, answer."

"We are risking hundreds of peasant families. If you cannot supply us we will have to. . .demobilize. Your responsibility. We thought you were sincere."

"All groups demoralized. . . They consider themselves deceived because of failure of shipment of arms and money according to promise."

Perhaps the situation was best summed up by this agent message:

"Impossible to fight... Either the drops increase or we
die... Men without arms or equipment. God help us."

34. The Inspector General reluctantly concludes that the agent who was showered with rice and beans was entirely correct in his finding that the Agency showed no professional competence in its attempts at clandestine air drops into Cuba. Furthermore, these attempts in their over-all effect probably hurt the resistance more than they helped.

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K. CLANDESTINE PARAMILITARY OPERATIONS -- MARITIME

- 1. WH/4 Branch had two separate maritime problems. It needed to transport men and supplies clandestinely to the coast of Cuba by small boats, and it needed ships to transport and support an amphibious landing of a military force, more or less overtly. This section of the report will be mostly concerned with small boat operations.
- 2. The WH Division had no assets in being; there was no Agency element comparable to DPD to call on; and for obscure reasons the Navy was not asked to provide the help it might have. WH/4 had to start with nothing; there seemed to be very little maritime know-how within the Agency.
- 3. The original operational plan called for building up a substantial resistance organization, which could be done only if supplies and people were delivered to the right places. During the critical period March-December 1960, WH/4 had one boat, the "Metusa Time", a 54-foot pleasure cruiser which was lent to the Agency by a friend. Two maritime operations officers, more or less under deep cover, labored from March to October to outfit this boat and train its crew.
- 4. The boat went on its first mission on 28 September, offloading 300 pounds of cargo and picking up two exfiltrees. By January it had made five additional trips and transported about five tons, but only one infiltree. It had another successful operation in March 1961 and another in April.

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Boats

- 5. In November and December there were six other successful small boat operations conducted with boats owned by various Cubans. The arrangements were made by individual case officers at Mismi (there being no maritime section) and mainly in response to requests by the owners. No memoranda of understanding were made and the agreements as to supporting, equipping, and funding these Cuban boats were exceedingly loose, thus causing many problems later.
- 6. A Cuban would say, "Give me a tank of gas and a machine gun, and you can use our boat and we will help run it." After the operation he was likely to come back and say that the boat needed all sorts of equipment which had been damaged by the operation, and many claims were built up in this way.
- 7. Although more than twenty of these boats were offered to case officers, most of them were too small and too limited in range to be of much use. Furthermore, the bad weather which lasted from December into March made small boat operations impossible at a time when they were badly needed. In January 1961 there was not a single successful operation.

Boats

8. By December the need for some books was becoming obvious. The "Sea Gull" (see below) was picked up by Headquarters about this time. It, turned out to be a complete

- 9. About February the "Tejana" also became operational. This was a 110-foot yacht which became available through a Cuban contact of a case officer. The arrangements made by the case officer with the Cuban owner were so vague that payment of bills incurred was a continuing problem. However, the "Tejana", in four operations in March, infiltrated 19,000 pounds, as compared with 12,700 pounds which had been infiltrated from September up to February by all available boats.
- 10. The statistics compiled by WH/4 and by Miami Base on the small boat operations are somewhat confused and inconsistent. However, the general picture is clear. The small boat operations succeeded in getting about 76 people into Cuba clandestinely. Most of these were taken in during March. Up to the middle of February only ten had been successfully infiltrated by this means, the first being in mid-November.
- 11. In the matter of arms, ammunition and other supplies to the resistance, the boat operations were not an outstanding

success. From September to the time of the strike about 70,000 pounds were successfully infiltrated. This was about three times as much as was put in by air drops. The total amount of supplies put into Cuba by air and boat operations amounted to about 93,000 pounds ($46\frac{1}{2}$ tons); this would be about enough to equip 1,250 men.

Limited Area

- 12. There was one successful boat operation in September; two in October; three in November; six in December, none in January; six in February; thirteen in March; and two in April. Up to February only six and a half tons were sent in.
- 13. One should not get the idea that these supplies were uniformly distributed throughout Cuba. Most of them were placed in one small area, the north coast of Cuba close to Havana. The small boats did not have the range to go farther.
- 14. In almost all cases the supplies were transferred to a Cuban boat or an offshore key rather than deposited on the shores of Cuba itself. In the fall, boat operations were restricted by policy to offshore rendezvous. By January Miami had begun to plan beach landing operations as a means of overcoming the unreliability of Cuban-based boats. At this time Miami Base did not even have aerial photos of the north coast of Cuba.
- 15. Of the 33 missions rated as successful only 27 could be considered entirely so since the cargo on the other operations

was later recovered by the Castro government or the success was only partial. The reception committees did not seem to have had much training in maritime reception procedures.

Havana area in the period September-December by some ill-suited small boats. Then by using the "Wasp" and the "Tejana" a substantially larger amount of supplies was put in during. February and March as well as some people, but to a limited area only. At this point the "Barbara J" and the "Blagar" (former LCIs) were used because of their longer range and larger size; however, for various reasons they were also unsuccessful in placing anything on the south coast except at the westernmost part.

Lack of a Plan

- 17. Officers who worked on these operations reported that there was no effective project plan for using small boats to deliver men and equipment to forces inside Cuba who were best suited to use them to build up a powerful underground movement against Castro. According to these officers, WH/4 did not plan small boat operations; the case officers simply responded to requests by individual Cubans and groups. One officer remarked that the Cubans were running the operations.
- 18. Of all the attempts made to land men and supplies in Cuba clandestinely by water some of the most notable were made

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by the "Barbara J", a surplus LCI which the Agency bought in October 1960. It was intended that this craft would serve as a mother ship for small boat operations and also provide a long-range lift capability.

- 19. After a shakedown voyage in December, featured by a mutiny, the ship was scheduled for clandestine maritime infiltration of three paramilitary teams into Cuba. Initially there was some confusion as to who was running the operation since Miami had been handling small boat operations and had made the rendezvous plans for this one, but Headquarters had responsibility for the "Barbara J". WH/4 then sent the chief of its maritime section to Miami to coordinate, to brief the captain, and to dispatch the boat on its mission on 16 January.
- 20. The "Barbara J" put into Vieques Island on 31 January 1961 after having been unsuccessful in putting anybody ashore in Cuba. The crew's morale continued to deteriorate. Some refused to take direct orders, attempts to discipline the men were ineffective, the engineers refused to stand watch, and all of the crew wanted to return to Miami and resign. Also, nine of the ten agents did not wish to stay on the ship for another mission.

A Sit-down Strike

21. On 4 February the "Barbara J" sailed from Vieques for a rendezvous on the south coast of Cuba, 24 crew members having been left on a Vieques beach, where they staged a sit-down and a hunger strike. On 9 February the "Barbara J" reported that the contact had not shown up at the rendezvous point.

- 22. After trying again on 10 February, the captain of the "Barbara J" cabled: "Take a message to Garcia: The reluctant heroes in fishing boat again conspicuous by their absence."

 On 11 February he sent another odd cable: "Last message to Garcia: Your fishing boat still manifesting extreme shyness.

 Suggest next operation send in varsity." On 13 February he sent: "Cruised without making contact. Picked up small target on radar, tracked it down, and scared hell out of some fishermen who wanted no part of us."
- 23. The case officer and the team leaders had a different story. They stated that when the "Barbara J" arrived at the rendezvous point it was approached by a small boat that came at the right time and gave the correct signals, but that as the boat came alongside the captain of the "Barbara J" ordered two floodlights turned on the boat which apparently scared it away. On 18 February the reception party sent a message that their boat had been at the right place at the right time and that a patrol boat had showed up. The "Barbara J" arrived at on 14 February without having received arrival instructions. On 15 February Miami sent a message saying that it was setting up facilities at Key West to receive the

"Barbara J". Upon landing in Key West the ten paramilitary agents, having been on this trip for a month after spending two months in a safe house, were ready to resign and it took a considerable amount of persuasion to get them to stay with the program. They were then sent to New Orleans for holding.

Earning a Citation

24. Several officers who were associated with the captain of the "Barbara J", a contract employee acquired from Military Sea Transportation Service (MSTS), have testified to his drinking on duty, his bullying of Cubans, and his disregard for security. Drew Pearson wrote about the drunken American LCI skipper who scared away Cuban underground leaders with his ship's floodlights, and who threatened to abandon a sabotage team. On 21 March the project's paramilitary chief relieved the captain of his command and requested that he be terminated. However, the captain was retained on duty and eventually received full pay and a bonus for a six-month contract period in the amount of \$14,698.

25. WH/4 Branch initiated action to get the captain commended by his parent service for outstanding performance.

In July 1961 he was cited "for completing an assignment involving extreme hazards in an outstanding manner, and displaying exceptional skill and courage" and given the Navy Superior Civilian

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Service Award -- the highest honorary civilian award within the authority of the MSTS commander.

26. The branch had never taken action either to clear him or to convict him of serious charges, and the high commendation he received casts doubt not only on the validity of other WH/4 recommendations for merit citations but also on the quality of personnel management in the project.

Peculiar Organization

- 27. The organization for controlling clandestine maritime operations was peculiar. The forward operating base in Miami had the responsibility for small boat operations but could not run any without Headquarters approval. It was seldom that Headquarters had any query or refused to give approval.
- 28. But the Miami Base did not have the equipment and experience that were needed. For a long time the docking facilities were inadequate. The desirability of having a base at Key West was recognized as early as November 1960, but this base was not established until mid-February. It was insufficiently staffed and had a great many cover, security and administrative problems on which it received little assistance. At first it was under the direction of the Miami paramilitary section; eventually it was placed under the chief of the Miami Base.

- 29. The small staff at Key West not only supported small boat operations; it also had to take whatever action was necessary when disabled black flights came in to the local Naval air station since DFD had no representative in the area. Each unsuccessful maritime operation doubled the work. Boats coming back to a safe haven loaded with arms and explosives, usually crewed by Cubans and sometimes disabled in various ways, had to be unloaded again by whoever was available among paramilitary case officers and security and support people. A few staff employees worked almost around the clock for a month loading and unloading cargo without benefit of even a forklift. Many tons were so handled.
- 30. It is clear that there was no over-all policy in regard to the small boats. There was no clear directive as to whether to acquire short-range, speedy boats or long-range, slower boats; whether to use fishing craft and crews or special-purpose boats built specifically for our use. There was no policy on the use of a mother craft. There was no control over the amount of money spent on these small boats and their outfitting.

The Maritime Unit

31. WH/4 Headquarters had a staff employee whose job was small boat coordinator. This meant, in effect, checking proposed operations with the intelligence section, extending approvals and keeping records. WH/4 also had a separate maritime

unit which handled the technical side of the small boats, approved funds for them, and arranged for personnel for them, but had nothing to do with their operations.

- 32. This maritime unit also had the responsibility for acquiring and fitting out the larger ships such as the "Barbara J", the "Blagar", the three LCUs and the ships used in the strike. This unit also had the responsibility for training underwater demolition teams, directing raiding operations, and overseeing the Vieques Base.
- 33. The lack of equipment, the shortage of experienced personnel, the press of time and the problems of coordination are shown by the experience which the maritime unit had with the acquisition and outfitting of the LCIs and the LCUs. The press of time hardly allowed for advertising for specific types of craft or soliciting competitive bids. The two LCIs (the "Barbara J" and the "Blagar") were purchased from a private corporation in Miami for \$70,000. About \$253,000 was then spent in modifying, repairing and outfitting them.
- 34. This work, which extended over a period of several months, was directed by officers from Headquarters during short temporary duty tours in the Miami area. The day-to-day supervision of the work was under several Navy chief petty officers (borrowed from the Agency's Office of Training) who had no contact with Miami Base, ho authority to spend money or

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give orders, and no channel to procure parts and equipment.

The technical and training abilities of these Navy chiefs were grossly misused by the project; much of their time was spent at stevedore or deckhand labor.

Training on LCUs

were bought directly from the Navy in September 1960 at \$125,000 each. Supposedly in operating condition, these craft had been stripped and were in such bad shape that they could hardly be moved from the dock. The dozen or so Agency employees who went to Little Creek to get them into operational condition were so busy with repairs that there was little time left for learning how to operate the craft, even though some members of the group were not familiar with LCUs, the engineers did not all know engineering and the skippers did not all know navigation. This group got the LCUs to Vieques Island somehow and proceeded to train the Cuban crews, which, however, were given no training in night landing and very little in navigation.

36. In all, about \$1,400,000 was spent on boats and ships, and the total cost of the maritime phases of the project was about \$2,679,000. Wages were a considerable item. For example, ship's masters on contract were budgeted at \$2,500 a month, cooks at \$1,000. There seemed to be a general failure at the top to

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realize how much boats cost to run and to keep in repair. The arrangement whereby officers in Headquarters tried to control the expenditures being made in Florida to repair and operate boats which were urgently needed was highly impractical. The high cost of boats in this project is well illustrated by the dismal case of the "Sea Gull".

Case of the "Sea Gull"

It had previously been used to service offshore oil-drilling rigs and was estimated to have a fair market value of \$74,500.

for the chief of the project by a special assistant in the FI section (acting for the acting chief!) and approved by the Deputy Chief of WH Division (acting for his chief). It was christened the "Sea Gull" and transported to Miami, where it broke down 500 yards from the pier on its first trial run. On 6 January 1961 it was estimated that repairs and modifications would cost \$10,000; by 30 January, the estimate had grown to \$32,000; by 22 February, to \$40,000; and on 24 February, the shipyard doing the work submitted a bill for \$65,000! In all, the "Sea Gull" cost:

\$39,500

Repairs (eventually reduced from \$65,000)

Communications gear, tools, arms, navigation

58,000

aids

14,000 \$111,500

39. The "Sea Gull" was not ready to be used until the last week of March; at this time it was commandeered (along with the "Wasp") by a headquarters unit which was staging a deception operation in connection with the amphibious strike, over the strong protests of Miami Base, which never got to use the boat on an infiltration operation.

40. The lack of qualified personnel, the confusion of responsibility, the lack of planning, and the skyrocketing costs in the maritime activity led to a high-level request for the assignment of a qualified senior Naval officer to the project. When a captain reported, no one seemed to know what to do with him and, after he briefly visited Miami and Key West bases, he was assigned to the naval side of the strike planning at Headquarters. He is reported to have been not entirely happy with his brief Agency tour. In any event he was another example of poor handling of people in this project, and he was not given a chance to solve the problems of maritime operations.

41. It is apparent that the Agency had very little capability for maritime operations even of a clandestine nature. It lacked

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trained personnel, boats, bases, doctrine, and organization. The employees who worked in this sadly slighted activity were well aware of this, and morale was not high. As one of them said, "The lowest kind of operations officer is a paramilitary operations officer, and the lowest kind of PM officer is a maritime operations officer."

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- L. CLANDESTINE PARAMILITARY OPERATIONS -- TRAINING UNDERGROUND LEADERS
- 1. Early in the project a carefully selected group of Cubans was trained for infiltration into Cuba to organize resistance. The loose management of the project is illustrated by the confusion between the headquarters elements and the training elements over what these men were being trained for, and by the failure to have their missions, means of entry, and reception ready for them.
- 2. The trained Cubans put into Cuba were too few and too late to do very much, and the strike planners ignored them. The cost of training and holding these men probably ran well over a million dollars, yet most of them were never used for what they were trained to do, and some were not used at all.
- 3. This particular endeavor began in December 1959 when the WH Division made a decision to pick a small group of Cubans and train them to train other Cubans for infiltration into Cuba in small paramilitary teams to organize resistance forces. Possible training sites in Panama were surveyed at this time, but no further action was taken. The basic policy paper approved by the President in March 1960 included the above proposal.

- 4. In April 1960, the Cuban leader Manuel Artime, who was in Miami, offered a number of his followers as recruits for this program. Useppa Island was acquired as an assessment and holding site, and a preliminary screening of the candidates for the training program began.
- 5. During May and June 1960 complete polygraphs, psychological and psychiatric tests, and evaluations were obtained on 66 individuals. Basic Morse code training was begun at the island. In June 29 trainees were sent from Useppa Island to Panama for basic paramilitary training. In July 32 trainees were sent to Guatemala to be trained as radio operators, and Useppa Island was then closed down.

The Training Site

- 6. A worse training site could hardly have been chosen than the one in Guatemala, it being almost inaccessible, with no training facilities and almost no living facilities. The trainees were put to work building the camp, working during the day and studying at night. This went on for several months.
- 7. The number of Americans at the camp was held to a bare minimum for security reasons. They were represented to be either tourists or adventurers. The camp commander was also the chief of training and the project officer for Guatemala. When he arrived, he had to set up the temporary camp, find an area for a permanent camp, contract for buildings, supplies,

and equipment; he also had to find sites for a suitable air base, a maritime base, and a prison and contract for these facilities to be built. He had three assistants: a communications officer and two contract employees.

- 8. The initial group of paramilitary trainees was transferred to the Guatemala Base from Panama after two months of training. By 23 August there were 78 paramilitary trainees, 34 communications trainees, and nine staff and contract employees.
- 9. By September the training camp had enough facilities and instructors to begin a four-week basic training course. The trainees were sorted into seven-man teams according to their area knowledge and their aptitudes. Sixty were selected to go into Cuba (either legally or illegally) and to contact resistance groups; 60 were selected for action teams to go in illegally and join the resistance groups that had been contacted by the first teams; the remainder of the trainees would be formed into a small conventional strike force. The training base expected the teams to be ready to go in October and asked Headquarters to provide the infiltration plans.
- 10. The trainers did not realize that Headquarters had changed the plan. Already in July the FRD, the exile political front, had been asked to provide 500 individuals for a paramilitary action cadre, and the training base was asked if it could accommodate this number. Obviously, it could not.

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Conditions actually got worse. In September the training camp
was plagued by torrential tropical rains, shortages of food
and supplies, plus trouble with agitators and hoodlums among
their recently arrived trainees, who were not being screened
and assessed as the first ones had been. The training base
chief got into disfavor with Headquarters apparently because
of his blunt cables asking for assistance. ("My men are going hungry and barefoot.")

Request for Missions

selected from among the trainees worked out detailed operational plans for themselves, complete with maps, propaganda handouts, and resistance operations. When the base announced that about a hundred men were ready to go, Headquarters replied that it was proposing the illegal infiltration of the teams in November by boat. (Actually, the only boat the project had at this time was the 54-foot "Metusa Time".) Headquarters further cabled that it was engaged in preparing a general plan for the employment of the infiltration teams but that the details were not yet ready. The base chief was recalled in October, and thereafter the training base had a new chief each week for five weeks. One trainee was put into Cuba legally at the end of October.

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- 12. In November 1960 (12 months after the original decision had been made to train Cuban teams for resistance organization) ten teams were reported ready to go. But they were still awaiting Meadquarters plans for infiltration. In all 178 men (including 23 radio operators) had been trained in security, basic clandestine tradecraft, intelligence collection and reporting, propaganda and agitation, subversive activities, resistance organization, reception operations, explosives and demolitions, guerrilla action, and similar matters.
- 13. Headquarters approved the use of 60 of these men for the resistance teams; all others were scheduled to begin formal, conventional combat training on 15 November as an element of a strike force of 1,500 men. This drastic change in over-all plan was announced to the training base by a cable on 4 November and led the base to plead for closer coordination in the future between Headquarters planning and the field training. During this month six trainees were moved to a Miami safe house where they stayed for two months, awaiting transportation into Cuba.

Move to Panama

14. In December 1960 Headquarters advised the training base that it was expecting approval of its operational concept, which included internal resistance stimulated by teams as well as the use of a ground and air assault force. It advised the base that a 750-man brigade (instead of 1,500) was being planned

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and that 80 men (instead of 60) were approved for infiltration teams. During this month the 80 men were moved to Panama where they were held until somebody could find out what to do with them. An offer from DPD to give them jump training was turned down by the project.

15. By January 1961 the morale of the trainees in Panama had declined considerably. There was not even an interpreter available for briefing and debriefing them. Headquarters then had 24 of them brought to safe houses in Miami to be made ready for dispatch. Twelve radio operators were moved from Panama to the Agency's training base in the United States for further training.

16. By February 1961 the 32 trainees still in Panama were described as disillusioned and at the breaking point. They were then transferred to a base in New Orleans to be given additional training in sabotage and air-maritime reception. February was actually a red-letter month. Six of the radio operators were infiltrated legally. On 14 February the first resistance team was put into Cuba, and two more teams went in at the end of the month. However, the two teams which had sat in a Miami safe house from mid-November to mid-January returned to Miami in bad humor in mid-February after a month on the "Barbara J" circummavigating Cuba without being put ashore.

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17. An effective infiltration mechanism never was developed.
Not one of the paramilitary teams was ever delivered by air. On
29 March the project was able to put four agents into Cuba through
Guantanamo Base. It is not clear why this could not have been
done earlier. No infiltration was ever tried by submarine.

Morale Problems

18. On 10 March 1961 (16 months after the original decision to train resistance teams) the 90 men who had been trained for this were distributed as follows:

32 infiltrated, including 14 radio operators

5 at sea on a sabotage mission

6 in New Orleans as members of a raider team

28 in New Orleans still awaiting infiltration

The morale of the remaining trainees was low and their anger high.

This caused a great many problems in New Orleans. Some of these men had been held in five different camps over a ten-month period.

On 30 March, about three weeks before the invasion, the remainder of the group (about 20) were transferred to Miami and turned loose, being described as a collection of spoiled individuals distinguished by bad conduct. At least 30 of the agents who were recruited between May and September 1960 never got into Cuba at all; among the 30 were eight who came into the project in the original group in May 1960 and who were in training almost continuously from that time up to April 1961.

- 19. The time spent in training is no measure of the quality of the training, of course, and there was undoubtedly a great waste of time. One of the Cubans trained for infiltration into Cuba wrote that after he arrived in Panama in December 1960: "... during almost three weeks, the only thingh I did...was cleaning a small dam and the shoting range. after that we just din't do anythingh, just sleep and ate, thats all." When he arrived in Florids on 18 January: "There, the same history, sleep, eat, play card and watch television. The only training I receveid during that time was on secret writting, wich was very good but nothing else." The same agent pointed out serious deficiencies in his weapons training and his final briefing.
- 20. One of the instructors in Guatemala in the early months later claimed that only two instructors knew their business; the others were chosen from the trainee cadre, who had only a background of two months' training themselves. He included himself among the unqualified.

Training Omission

21. The remoteness of the training site caused additional difficulties. When brigade training started on 29 November there were only two compasses for 405 troops, and these belonged to trainees. Compasses had first been requested on 2 October, but when they were not received the training in their use had to be omitted from the program.

- 22. More serious, there had never been any definition of training goals, and the base and Headquarters were working at cross-purposes. The chief of the training base in Guatemala never received any letter of instruction.
- vas even more chaotic. The instructors found a training area which was 90% swamp and filled with poisonous snakes. Demolition classes had to be conducted along a footpath leading from a theater to a mess hall, with constant interruptions from passers-by. Nobody seemed able to define the training that was required. A demolitions instructor was assured on arrival that the group he had come to train did not need the instruction; in any case, there were no explosive training materials, no adequate range, and no gear to set one up. Another instructor, sent to New Orleans to train a small raider group, found himself expected to train, organize and equip a 90-man guerrilla force. A week later he found himself training a 160-man assault battalion instead. The training requirement was never spelled out, and the training equipment never showed up.
- 24. Training activity of various sorts was going on continually; there were requirements for everything from counterintelligence to small boat handling. But there was no full-time chief of training in the project to oversee requirements, define responsibilities, set up facilities and provide support.

Consequently, what training was done, was done without control, by individual case officers doing the best they could. How effective this training was cannot be determined. Much of it took place in Miami, where personnel from the base were instructing Cubans in intelligence collection, counterintelligence techniques, psychological warfare activities, or paramilitary subjects, according to need.

25. The training was necessarily conducted in safe houses, and required a considerable expenditure of time on the part of base personnel. Other training was conducted in the Washington area, usually by case officers. One men was trained in a hotel room to make a parachute jump (he made one successfully!). Many requests were levied on the Office of Training for instructors and training materials. But these were uncoordinated and wasteful. Many of the instructors, when made available, were not used in their specialties, ending up in such jobs as stevedoring instead.

26. A well thought-out project would have had a training annex which would have laid specific requirements on the Office of Training, particularly when the training of hundreds of people was an integral part of the venture. Instead, the requirements were met in piecemeal and improvised fashion, under difficult conditions, and with dubious results.

M. SECURITY

- 1. The assault on Cuba is generally acknowledged to have been a poorly kept secret. It could hardly have been otherwise, considering the complexity of the operation and the number of people involved, both Cuban and American. The inspection team did not make a detailed study of the security aspects of the operation but came across many weaknesses in the protection of information and activities from those who did not "need to know."
- 2. In general the Cubans who were in the operation do not seem to have had any real understanding of the need to keep quiet about their activities. Many of them knew much more than they needed to know, and they were not compartmented from each other and from Americans to the extent that was necessary. For example, one wealthy Cuban who was close to the operation was being contacted by at least six different staff employees.
- 3. Some agents were being handled by two or three different case officers at the same time, with confusing results and lack of control. Many of the agents who were sent into Cuba had known each other during training; for example, a dozen radio operators had been trained as a group. If one was arrested, he would know who the other ones were. One radio operator inside Cuba was aware of almost every paramilitary operation in Cuba from the beginning of the project.

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4. Agents who were supposedly well trained disregarded elementary rules of personal security and were arrested because they needlessly gave away their true identities by visiting relatives who were under surveillance or by carrying identifying documents in their pockets.

Hazard in Miami

- 5. The Miami area represented a particular hazard because stories and rumors spread rapidly through the large Cuban community, which included Castro agents. Movements of boats and people soon became known. One agent, who had been infiltrated into Cuba by boat, reported later that within three days his family in Miami knew when and how he had landed, because one of the crew members of the boat had told many people in Miami about it. Letters from the training camp, although censored, managed nevertheless to convey information to the Miami Cubans.
- 6. The Americans on the project in many cases also failed to observe strict security discipline. One senior case officer holding an operational meeting with Cubans in a Miami motel was overheard by a citizen, who reported to the Federal Bureau of Investigation.
- 7. It has been testified that the security measures at the training camps in Guatemala and at New Orleans were inadequate.
 Furthermore, the training camps had no adequate counterintelligence capability. Except for an instructor borrowed from the Office of

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Training for a few weeks, the Agency was unable to provide a counterintelligence officer to the camps. This lack was serious because, in order to obtain a great many recruits for the strike force in a hurry, there was very little screening of the volunteers, and some who were sent to camp had been inadequately checked.

Poor Backstopping

- 8. Instances were noted of poor backstopping of the cover stories of Agency employees, sketchy briefings on cover, weak cover stories, and faulty documentation. Much of this can be ascribed to lack of attention to detail due to the press of time. Many of the early difficulties in Guatemala stemmed from the inadvisability of providing supplies and support to instructors who were posing as "tourists" and "soldiers of fortune". This pretense eventually had to be dropped because of its impracticality. A serious weakness showed up in the poor arrangements for backstopping overflights (for example, the plane that landed in Jamaica).
- 9. Somewhat curiously, a strict compartmentation was applied in certain areas of the project which actually denied information to people who needed it. Those who were engaged in running agents into Cuba were never allowed into the War Room or given the plan for the strike.

10. For security reasons, the resistance elements inside
Cuba were not advised of the time of the assault, and could
hardly have risen up even if there had been 100,000 of them.
The entire complement of the Mismi Base was likewise uninformed
and was unprepared to take action when the strike occurred.
Staff employees at the Mismi Base, who could have benefited by
special clearances, did not get them until much too late.

Use of Guatemala

- 11. The use of Guatemala for training bases was, in terms of security, unfortunate. It is obvious now that the training could have been done more securely in the United States (as for example, the tank crew training, which got no publicity at all). The Guatemala camps were not easily hidden and not easily explained. The air base was located on a well-traveled road and in view of a railroad where trainloads of Guatemalans frequently halted on a siding.
- 12. It is strange that the training of the Cubans was undertaken in a foreign country, where the trainees were necessarily exposed to the natives and reporters could pick up information. Presumably this was done on grounds of security and non-attributability; however, the radio operators who were trained in Guatemala were later brought to the United States for further training. The force for the abortive diversionary expedition was trained in New Orleans rather than being sent outside the

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country. Other Cubens were trained in both paramilitary and espionage subjects in the outskirts of Miami and Washington, and still others were trained on American soil at Vieques Island. Of all these training locations, only the ones in Guatemala became known to the world.

13. It is acknowledged that many Cubans and Americans observed strict security discipline, that the security officers of the project made an outstanding contribution, and that many arrangements and activities are not open to criticism regarding their security. Unfortunately, this was not good enough for a project of this size and importance, conducted by professional intelligence officers.

14. Because of the operation's magnitude, the errors committed resulted in the exposure of Agency personnel and modus operandi to many uncontrolled individuals, both foreign and American.

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N. AMERICANS IN COMBAT

- During the invasion landing two Agency contract employees, assigned as operations officers aboard the two LCIs, went ashore to mark two of the beaches and exchanged gunfire with Cuban militia. One of these employees had taken part in a sabotage raid on a Cuban oil refinery a month earlier. Both of them engaged in rescue operations along the Cuban shore after the brigade collapsed.
- 2. In late 1960 the project leaders were becoming doubtful of the motivation of the Cuban pilots they were training and of their ability to perform tactical missions successfully. In January 1961 the Agency requested the Special Group to authorize the use of American contract pilots. The authorization given was limited to the hiring of the pilots and reserved for later decision the question of their actual use. The Special Group also granted authority to recruit and hire American seamen to serve in the invasion fleet.
- 3. Three American contract pilots with long Agency experience were made available from another project. A number of other pilots and air-crew technicians, members or ex-members of several Air National Guard units, were recruited especially for the project in early 1961 under cover of a notional commercial company.

- 4. Through the first day of fighting, 17 April, only Cuban air crews were used for combat or drop missions. Of 11 Cuban-manned B-26s which had gone over the beachhead, only three had returned to base, and four of the others had been shot down.

 That night the available Cuban crews were exhausted and dispirited.
- 5. On 18 April the hard pressed exile brigade was calling for air support. Two American fliers volunteered to go, and several Cuban crews followed their example. The result was a highly successful attack against a column of Castro's forces moving on Blue Beach. Four American-manned aircraft were in combat over the beachhead the following day, and two of them were shot down by Castro's T-33s. Later the same day two American crews returned for another sortie. Four American fliers were either killed in combat or executed by Castro forces after being shot down.
- 6. In addition to these actions, an American-manned PBY patrolled the waters south of Cuba for a total of 57 hours during five days on air-sea rescue and communications relay duty.
- 7. The American pilots lost in combat were aware of United States Government sponsorship and probably also of Agency interest, but had been instructed not to inform their families of this. In spite of wide press coverage of the invasion failure, the story of the American pilots has never gotten into print, although its

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sensational nature still makes this a possibility. In dealing with the surviving families it has been necessary to conceal connection with the United States Government. This effort has been complicated by the fact that the original cover story was changed and a second notional company substituted.

8. The resolution in a secure manner of the legal and moral claims arising from these four deaths has been costly, complicated and fraught with risk of disclosure of the Government's role. These problems were aggravated by the inclusion in the employment contracts of certain unnecessarily complicated insurance clauses and by the project's failure to prepare in advance an effective plan for dealing with the eventual legal and security problems.

O. CONCLUSIONS AND RECOMMENDATIONS

Certain basic <u>conclusions</u> have been drawn from this survey of the Cuban operation:

- 1. The Central Intelligence Agency, after starting to build up the resistance and guerrilla forces inside Cuba, drastically converted the project into what rapidly became an overt military operation. The Agency failed to recognize that when the project advanced beyond the stage of plausible denial it was going beyond the area of Agency responsibility as well as Agency capability.
- 2. The Agency became so wrapped up in the military operation that it failed to appraise the chances of success realistically. Furthermore, it failed to keep the national policy-makers adequately and realistically informed of the conditions considered essential for success, and it did not press sufficiently for prompt policy decisions in a fast moving situation.
- As the project grew, the Agency reduced the exiled leaders to the status of puppets, thereby losing the advantages of their active participation.
- 4. The Agency failed to build up and supply a resistance organization under rather favorable conditions. Air and boat operations showed up poorly.

- 5. The Agency failed to collect adequate information on the strengths of the Castro regime and the extent of the opposition to it; and it failed to evaluate the available information correctly.
- 6. The project was badly organized. Command lines and management controls were ineffective and unclear. Senior Staffs of the Agency were not utilized; air support stayed independent of the project; the role of the large forward base was not clear.
- 7. The project was not staffed throughout with top-quality people, and a number of people were not used to the best advantage.
- 8. The Agency entered the project without adequate assets in the way of boats, bases, training facilities, agent nets, Spanish-speakers, and similar essential ingredients of a successful operation. Had these been already in being, much time and effort would have been saved.
- 9. Agency policies and operational plans were never clearly delineated, with the exception of the plan for the brigade landing; but even this provided no disaster plan, no unconventional warfare annex, and only extremely vague plans for action following a successful landing. In general, Agency plans and policies did not precede the

various operations in the project but were drawn up in response to operational needs as they arose. Consequently, the scope of the operation itself and of the support required was constantly shifting.

There were some good things in this project. Much of the support provided was outstanding (for example, logistics and communications). A number of individuals did superior jobs.

Many people at all grade levels gave their time and effort without stint, working almost unlimited hours over long periods, under difficult and frustrating conditions, without regard to personal considerations. But this was not enough.

It is assumed that the Agency, because of its experience in this Cuban operation, will never again engage in an operation that is essentially an overt military effort. But before it takes on another major covert political operation it will have to improve its organization and management drastically. It must find a way to set up an actual task force, if necessary, and be able to staff it with the best people. It must govern its operation with clearly defined policies and carefully drawn plans, engaging in full coordination with the Departments of State and Defense as appropriate.

Previous surveys and other papers written by the Inspector General have called attention to many of these problems and deficiencies, and have suggested solutions. For example, in

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June 1958 a recommendation was made, in a survey of the Far East Division, that a high-level Agency study be made of the extent to which the Agency should be engaged in paramilitary operations, "if any"; and that it include an evaluation of the capabilities of other government departments to assume primary responsibility in this field.

In January 1959 the Inspector General pointed out in a memorandum to the Deputy Director (Plans) that: "A basic problem in the FM field is the delineation of responsibility between the Agency and the military services. In our view, the Clandestine Services tends to assume responsibilities beyond its capabilities and does not give sufficient consideration to the ability of other Departments of the Government to conduct or participate in these operations."

A 1955 survey of the then Psychological and Paramilitary
Operations Staff warned against the by-passing of this staff
by the operating divisions, who were dealing directly with the
Deputy Director (Plans) and the Director of Central Intelligence
instead. In March 1961 the survey of the Covert Action Staff
again warned against ignoring the staff and failing to utilize
its services.

The July 1959 survey of the Deputy Director (Plans) organization again stressed the importance of the functional staffs, particularly in relation to the conduct of complex

operations, and advocated the use of a task force for covert operations having major international significance.

"These operations", the survey stated, "may be aimed at the overthrow of a hostile regime and may require extensive paramilitary operations, and clandestine logistics and air support of substantial magnitude. Such operations must be coordinated with national policy on a continuing basis, and may require constant high-level liaison with the State Department and the White House. To be successful, major covert operations of this nature require the effective mobilization of all the resources of the DD/P, and are clearly beyond the capabilities of any one area division."

The same survey added that the Caribbean task force located in the WH Division was planning at a great rate, but accomplishing little because it was too low-level to act decisively or to obtain effective policy guidance from other departments of the Government; it did not even inspire confidence among many senior DD/P officers. Such task forces within a single division "represent a woefully inadequate response to a problem of major national significance. Command of such a task force must be a full-time job, and the task force commander must be of sufficient stature to deal directly with the Under Secretary of State or with other senior officials of the government as the need arises."

The same survey also discussed the management problem in the DD/P area at length, and made a number of recommendations which are on record. Among other things, it pointed out the confusion as to the relationship and functions of the three top officers.

The study of the Cuban operation shows that these criticisms and many others discussed in previous Inspector General surveys are still valid and worthy of review. But the Cuban operation, in addition to demonstrating old weaknesses again, also showed Agency weaknesses not clearly discerned before.

The Inspector General, as a result of his study of the Cuban operation, makes the following <u>recommendations</u> regarding future Agency involvement in covert operations which have major international significance and which may profoundly affect the course of world events:

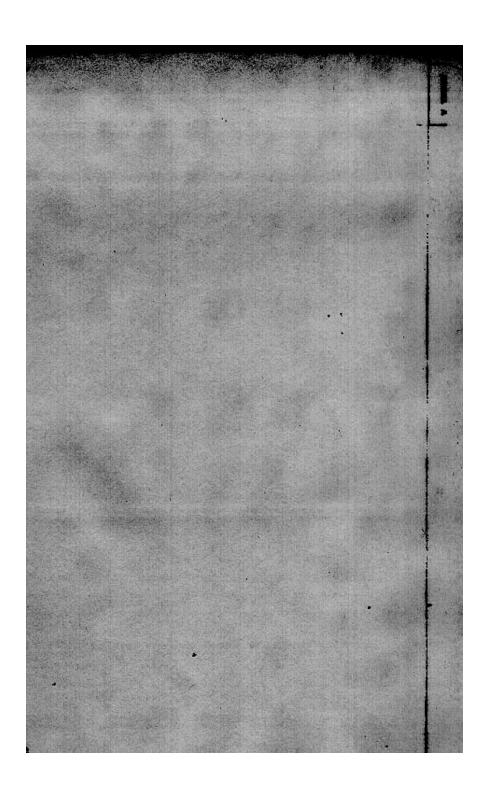
- Such an operation should be carried out by a carefully selected task force, under the command of a senior official of stature on a full-time basis, and organizationally outside the DD/P structure but drawing upon all the resources of the Clandestine Services.
- The Agency should request that such projects should be transferred to the Department of Defense when they show signs of becoming overt or beyond Agency capabilities.

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- 3. The Agency should establish a procedure under which the Board of National Estimates or other body similarly divorced from clandestine operations would be required to evaluate all plans for such major covert operations, drawing on all available intelligence and estimating the chances of success from an intelligence point of view.
- 4. The Agency should establish a high-level board of senior officers from its operational and support components, plus officers detailed from the Pentagon and the Department of State, to make cold, hard appraisals at recurring intervals of the chances of success of major covert projects from an operational point of view.
- A mechanism should be established for communicating these intelligence and operational appraisals to the makers of national policy.
- 6. In return, a mechanism should be established to communicate to the Agency the national policy bearing on such projects, and the Agency should not undertake action until clearly defined policy has been received.
- 7. The Agency should improve its system for the guided collection of information essential to the planning and carrying out of such projects.
- The Agency should take immediate steps to eliminate the deficiencies in its clandestine air and maritime operations.

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- 9. The Agency should take steps to improve its employees' competence in foreign languages, knowledge of foreign areas, and capability in dealing with foreign people, when such skills are necessary.
- 10. The Agency should devise a more orderly system for the assignment of employees within the DD/P area than that currently in use.



16 March 1960

A PROGRAM OF COVERT ACTION AGAINST THE CASTRO REGIME

- 1. Objective: The purpose of the program outlined herein is to bring about the replacement of the Castro regime with one more devoted to the true interests of the Duban people and more acceptable to the U.S. in such a manner as to avoid any appearance of U.S. intervention. Essentially the method of accomplishing this end will be to induce, support, and so far as possible direct action, both inside and outside of Cuba, by selected groups of Cubans of a sort that they might be expected to and could undertake on their own initiative. Since a crisis inevitably entailing drastic action in or toward Cuba could be provoked by circumstances beyond control of the U.S. before the covert action program has accomplished its objective, every effort will be made to carry it out in such a way as progressively to improve the capability of the U.S. to act in a crisis.
- Summary Outline: The program contemplates four major courses of action:
- a. The first requirement is the creation of a responsible, appealing and unified Cuban opposition to the Castro regime, publicly declared as such and therefore necessarily located

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cutside of Cuba. It is hoped that within one month a political entity can be formed in the shape of a council or junta, through the merger of three acceptable opposition groups with which the Central Intelligence Agency is already in contact. The council will be encouraged to adopt as its slogan "Restore the Revolution", to develop a political position consistent with that slogan, and to address itself to the Cuban people as an attractive political alternative to Castro. This vocal opposition will: serve as a magnet for the loyalties of the Cubans; in actuality conduct and direct various opposition activities; and provide cover for other compartmented CIA controlled operations. (Tab A)

b. So that the opposition may be heard and Castro's basis of popular support undermined, it is necessary to develop the means for mass communication to the Cuban people so that a powerful propaganda offensive can be initiated in the name of the declared opposition. The major tool proposed to be used for this purpose is a long and short wave gray broadcasting facility, probably to be located on Swan Island. The target date for its completion is two months. This will be supplemented by broadcasting from U.S. commercial facilities paid for by private Cuban groups and by the clandestine distribution of written material inside the country. (Tab B)

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- c. Work is already in progress in the creation of a covert intelligence and action organization within Cuba which will be responsive to the orders and directions of the "exile" opposition. Such a network must have effective communication and be selectively manned to minimize the risk of penetration. An effective organization can probably be created within 60 days. Its role will be to provide hard intelligence, to arrange for the illegal infiltration and exfiltration of individuals, to assist in the internal distribution of illegal propaganda, and to plan and organize for the defection of key individuals and groups as directed.
- d. Preparations have already been made for the development of an adequate paramilitary force outside of Cuba, together with mechanisms for the necessary logistic support of covert military operations on the Island. Initially a cadre of leaders will be recruited after careful screening and trained as paramilitary instructors. In a second phase a number of paramilitary cadres will be trained at secure locations outside of the U.S. so as to be available for immediate deployment into Cuba to organize, train and lead resistance forces recruited there both before and after the establishment of one or more active centers of resistance. The creation of this capability will

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require a minimum of six months and probably closer to eight. In the meanwhile, a limited air capability for resupply and for infiltration and exfiltration already exists under CIA control and can be rather easily expanded if and when the situation requires. Within two months it is hoped to parallel this with a small air resupply capability under deep cover as a commercial operation in another country.

- 3. <u>Leadership</u>: It is important to avoid distracting and divisive rivalry among the outstanding Cuban opposition leaders for the senior role in the opposition. Accordingly, every effort will be made to have an eminent, non-ambitious, politically uncontentious chairman selected. The emergence of a successor to Castro should follow careful assessment of the various personalities active in the opposition to identify the one who can attract, control, and lead the several forces. As the possibility of an overthrow of Castro becomes more imminent, the senior leader must be selected, U.S. support focused upon him, and his build up undertaken.
- 4. Cover: All actions undertaken by CIA in support and on behalf of the opposition conneil will, of course, be explained as activities of that entity (insofar as the actions become publicly known at all). The CIA will, however, have to



have direct contacts with a certain number of Cubans and, to protect these, will make use of a carefully screened group of U.S. businessmen with a stated interest in Cuban affairs and desire to support the opposition. They will act as a and channel for guidance and support to the directorate of the opposition under controlled conditions. CIA personnel will be documented as representatives of this group. In order to strengthen the cover it is hoped that substantial funds can be raised from private sources to support the opposition. \$100,000 has already been pledged from U.S. sources. At an appropriate time a bond issue will be floated by the council (as an obligation on a future Cuban government) to raise an additional \$2,000,000.

5. <u>Budget</u>: It is anticipated that approximately \$4,400,000 of CIA funds will be required for the above program. On the assumption that it will not reach its culmination earlier than 6 to 8 months from now, the estimated requirements for FY-1960 funds is \$900,000 with the balance of \$3,500,000 required in FY-1961. The distribution of costs between fiscal years could, of course, be greatly altered by policy decisions or unforeseen contingencies which compelled accelerated paramilitary operations. (Tab C)

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6. Recommendations: That the Central Intelligence Agency
be authorized to undertake the above outlined program and to
withdraw the funds required for this purpose as set forth in
paragraph 5. from the Agency's Reserve for contingencies.

STRUCTURE

THE POLITICAL OPPOSITION

- 1. CIA is already in close touch with three reputable opposition groups (the Montecristi, Autentico Party and the National Democratic Front). These all meet the fundamental criteria conditional to acceptance, i.e. they are for the revolution as originally conceived—many being former 26th of July members—and are not identified with either Batista or Trujillo. They are anti-Castro because of his failure to live up to the original 26th of July platform and his apparent willingness to sell out to Communist domination and possible ultimate enslavement. These groups, therefore, fit perfectly the planned opposition slogan of "Restore the Revolution".
- 2. An opposition Council or Junta will be formed within 30 days from representatives of these groups augmented possibly by representatives of other groups. It is probably premature to have a fixed platform for the Council but the Caracas Manifesto of 20 July 1958 contains a number of exploitable points. Two of the CIA group leaders were signers of the Manifesto. The following points are suggested as a few possibilities:
- a. The Castro regime is the new dictatorship of Cuba subject to strong Sino-Soviet influence.

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- 1. Articulation and transmission of opposition views has already begun. Private opposition broadcasts (i.e. purchase of commercial time by private individuals) have occurred in Miami (medium vave) and arrangements have been made with Station WMUL for additional broadcasts from Massachusetts (short wave) and Florida (broadcast band).

 There also agreed to the use of commercial stations for short wave broadcasts from and . CIA has furnished support to these efforts through encouragement, negotiating help and providing some broadcast material.
- 2. As the major voice of the opposition, it is proposed to establish at least one "gray" U.S.-controlled station. This will probably be on Swan Island and will employ both high frequency and broadcast band equipment of substantial power. The preparation of scripts will be done in the U.S. and these will be transmitted electronically to the site for broadcasting. After some experience and as the operation progresses, it may be desirable to supplement the Swan Island station with at least one other to ensure fully adequate coverage of all parts of Cuba, most especially the Havana region. Such an additional

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facility might be installed on a U.S. base in the Bahamas or temporary use might be made of a shipborne station if it is desired to avoid "gray" broadcasting from Florida.

- 3. Newspapers are also being supported and further support is planned for the future. Avance, a leading Cuban daily (Zayas' paper), has been confiscated as has El Mundo, another Cuban daily. Diario de la Marina, one of the hemisphere's outstanding conservative dailies published in Havana, is having difficulty and may have to close soon. Arrangements have already been made to print Avance weekly in the U.S. for introduction into Cuba clandestinely and mailing throughout the hemisphere on a regular basis. As other leading newspapers are expropriated, publication of "exile" editions will be considered.
- 4. Inside Cuba, a CIA-controlled action group is producing and distributing anti-Castro and anti-Communist publications regularly. CIA is in contact with groups outside Cuba who will be assisted in producing similar materials for clandestine introduction into Cuba.
- 5. Two prominent Cubans are on lecture tours in Latin America. They will be followed by others of equal calibre. The mission of these men will be to gain hemisphere support for the opposition to Castro. Controlled Western Hemisphere assets

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(press, radio, television) will support this mission as will selected American journalists who will be briefed prior to Latin American travel.

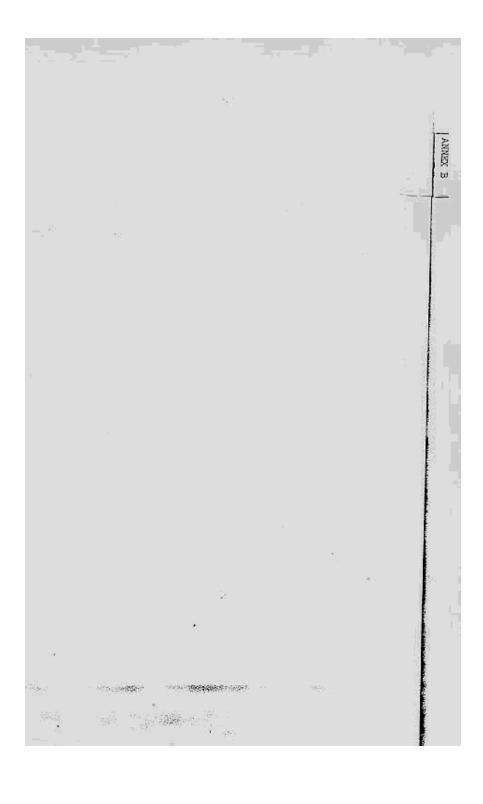
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FINANCIAL ANNEX

I.	Political Action	FY-1960	FY-1961
	Support of Opposition Elements and other Group Activities	150,000	800,000
II.	Propaganda		
	Radio Operations and Pro- gramming (including establish- ment of transmitters)	400,000	700,000
	Press and Publications	100,000	500,000
III.	Paramilitary		
	In-Exfiltration Maritime and A Support Material and Training	ir 200,000	1,300,000
IV.	<u>Intelligence</u> Collection	50,000	200,000
	Totals	*900,000	3,500,000

*These figures are based on the assumption that major action will not occur until FY-1961. If by reason of policy decisions or other contingencies over which the Agency cannot exercise control, the action program should be accelerated, additional funds will be required.

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17 February 1961

CUBA

- 1. BACKGROUND: About a year ago the Agency was directed to set in motion the organization of a broadly based opposition to the Castro regime and the development of propaganda channels, clandestine agent nets within Cuba, and trained paramilitary ground and air forces wherewith that opposition could overthrow the Cuban regime. The concept was that this should be so far as possible a Cuban operation, though it was well understood that support in many forms would have to come from the United States. Great progress has been made in this undertaking. A Governmentin-Exile will soon be formed embracing most reputable opposition elements. It will have a left-of-center political orientation and should command the support of liberals both within Cuba and throughout the hemisphere. It will sponsor and increasingly control trained and combat-ready military forces based in Central America. A decision must soon be made as to the support (if any) the United States will render the opposition henceforth.
- 2. PROSPECTS FOR THE CASTRO REGIME: The Castro regime is steadily consolidating its control over Cuba. Assuming that the United States applies political and economic pressures at roughly present levels of severity, it will continue to do so

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regardless of declining popular support. There is no significant likelihood that the Castro regime will fall of its own weight.

- a. The regime is proceeding methodically to solidify its control over all the major institutions of the society and to employ them on the Communist pattern as instruments of repression. The Government now directly controls all radio, television, and the press. It has placed politically dependable leadership in labor unions, student groups, and professional organizations. It has nationalized most productive and financial enterprises and is using a program of so-called land reform to exercise effective control over the peasantry. It has destroyed all political parties except the Communist party. Politically reliable and increasingly effective internal security and military forces are being built up.
- b. Cuba is in economic difficulties but the Communist Bloc will almost certainly take whatever steps are necessary to forestall any decisive intensification of these troubles. Economic dislocations will occur but will not lead to the collapse or the significant weakening of the Castro regime.
- c. At the present time the regular Cuban military establishment, especially the Navy and Air Force, are of

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extremely low effectiveness. Within the next few months, however, it is expected that Cuba will begin to take delivery of jet aircraft and will begin to have available trained Cuban pilots of known political reliability. During the same period the effectiveness of ground forces will be increasing and their knowledge of newly acquired Soviet weapons will improve. Therefore, after some date probably no more than six months away it will become militarily infeasible to overthrow the Castro regime except through the commitment to combat of a sizeable organized military force. The option of action by the Cuban opposition will no longer be open.

3. THE NATURE OF THE THREAT: Cuba will, of course, never present a direct military threat to the United States and it is unlikely that Cuba would attempt open invasion of any other Latin American country since the U.S. could and almost certainly would enter the conflict on the side of the invaded country. Nevertheless, as Castro further stabilizes his regime, obtains more sophisticated weapons, and further trains the militia, Cuba will provide an effective and solidly defended base for Soviet operations and expansion of influence in the Western Hemisphere. Arms, money, organizational and other support can be provided from Cuba to dissident leaders and groups throughout Latin America in order to create political instability, encourage Communism, weaken the

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prestige of the U. S., and foster the inevitable popular support that Castro's continuance of power will engender. A National Estimate states: "For the Communist powers, Cuba represents an opportunity of incalculable value. More importantly, the advent of Castro has provided the Communists with a friendly base for propaganda and agitation throughout the rest of Latin America and with a highly exploitable example of revolutionary achievement and successful defiance of the United States."

- 4. POSSIBLE COURSES OF ACTION: For reasons which require no elaboration the overt use of U. S. military forces to mount an invasion of Cuba has been excluded as a practical alternative.

 Broadly defined the following three possible alternative courses of action remain for consideration:
 - a. Intensification of economic and political pressures coupled with continued covert support of sabotage and minor guerrilla actions but excluding substantial commitment of the Cuban opposition's paramilitary force.
 - b. Employment of the paramilitary force but in a manner which would not have the appearance of an invasion of Cuba from the outside.
 - c. Commitment of the paramilitary force in a surprise landing, the installation under its protection on Cuban soil of the opposition government and either the rapid spread of

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the revolt or the continuation of large scale guerrilla action in terrain suited for that purpose.

These alternatives are discussed in the following praragraphs.

- 5. <u>DIPLOMATIC AND ECONOMIC PRESSURE</u>: There is little that can be done to impose real political and economic pressure on the Castro regime and no such course of action now under serious consideration seems likely to bring about its overthrow.
 - a. A true blockade of Cuba enforced by the United States would involve technical acts of war and has now been dismissed as infeasible.
 - b. Action to halt arms shipments from Cuba into any other part of the hemisphere would be cumbersome and easily evaded if air transport were employed. While undoubtedly of some value it is difficult to see that the institution of such measures would either impose severe pressure on the Castro regime or effectively insulate the rest of the hemisphere from it. Castro's principal tools of subversion are people, ideology, the force of example and money. The flow of these items cannot be dammed up.
 - c. Further economic sanctions are theoretically possible but can quite readily be offset by an increase of trade with the Bloc.

- d. In any event, it is estimated that the prospects for effective international action are poor.
- 6. THE MIDDLE COURSE: Careful study has been given to the possibility of infiltrating the paramilitary force gradually to an assembly point in suitable terrain, hopefully avoiding major encounters in the process and committing it to extensive guerrilla action. This course of action would have the advantage of rendering unnecessary a single major landing which could be described as an invasion. The infiltration phase would take on the coloration of efforts by small groups of Cubans to join an already existing resistance movement. Unfortunately, it has been found to be infeasible on military grounds. Basically the reasons (explained more fully in the attachment) are:
 - a. It is considered militarily infeasible to infiltrate in small units a force of this size to a single area where it could assemble, receive supplies, and engage in coordinated military action. Such an operation would have to be done over a period of time and the loss of the element of surprise after initial infiltrations would permit government forces to frustrate further reinforcements to the same area.
 - b. Military units significantly smaller than the
 battalion presently undergoing unit training would fall short
 of the "minimum critical mass" required to give any significant
 likelihood of success. Smaller scale infiltrations would not

produce a psychological effect sufficient to precipitate general uprisings of wide-spread revolt among disaffected elements of Castro's armed forces.

- c. Actually, the least costly and most efficient way to infiltrate the force into a terrain suitable for protracted and powerful guerrilla operations would be by a single landing of the whole force as currently planned and its retirement from the landing point into the chosen redoubt.
- evaluated the military aspects of the plan for a landing by the Cuban opposition. They have concluded that "this plan has a fair chance of ultimate success" (that is of detonating a major and ultimately successful revolt against Castro) and that, if ultimate success is not achieved there is every likelihood that the landing can be the means of establishing in favorable terrain a powerful guerrilla force which could be sustained almost indefinitely. The latter outcome would not be (and need not appear as) a serious defeat. It would be the means of exerting continuing pressure on the regime and would be a continuing demonstration of inability of the regime to establish order. It could create an opportunity for an OAS intervention to impose a cease-fire and hold elections.
 - a. Any evaluation of the chances of success of the assault force should be realistic about the fighting qualities

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of the militia. No definitive conclusions can be advanced but it must be remembered that the majority of the militia are not fighters by instinct or background and are not militiamen by their own choice. Their training has been slight and they have never been exposed to actual fire (particularly any heavy fire power) nor to air attack. Moreover, the instabilities within Cuba are such that if the tide shifts against the regime, the chances are strong that substantial numbers will desert or change sides.

- b. There is no doubt that the paramilitary force would be widely assumed to be U. S. supported. Nevertheless, this conclusion would be difficult to prove and the scale of its activity would not be inconsistent with the potentialities for support by private Cuban and American groups rather than by the U. S. Government. It must be emphasized, moreover, that this enterprise would have nothing in common (as would the use of U. S. military forces) with the Russian suppression of Hungary or the Chinese suppression of the Tibetans. This would be a force of dissident Cubans with Cuban political and military leadership.
- c. There would be adverse political repercussions to a landing in force but it is not clear how serious these would be. Most Latin American Governments would at least privately

approve of unobtrusive U. S. support for such an opposition move, especially if the political coloration of the opposition were left-of-center. The reaction of the rest of the free world, it is estimated, would be minimal in the case of unobtrusive U. S. support for such an attempt. It might produce a good deal of cynicism throughout the world about the U. S. role but if quickly successful little lasting reaction. Generally speaking it is believed that the political cost would be low in the event of a fairly quick success. The political dangers flowing from long continued large scale guerrilla warfare would be greater but there are diplomatic preparations that could be made to forestall extreme adverse reactions in this contingency.

- 8. DISSOLUTION OF THE MILITARY FORCE: A decision not to use the paramilitary force must consider the problem of dissolution, since its dissolution will surely be the only alternative if it is not used within the next four to six weeks. It is hoped that at least one hundred volunteers could be retained for infiltration in small teams but it is doubtful whether more than this number would be available or useful for this type of activity.
 - a. There is no doubt that dissolution in and of itself will be a blow to U. S. prestige as it will be interpreted in many Latin American countries and elsewhere as evidence of

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the U. S. inability to take decisive action with regard to Castro. David will again have defeated Goliath. Anti-U. S. regimes like that of Trujillo would gain strength while pro-U. S. Betancourt would undoubtedly suffer. Surely Ydigcras, who has been an exceedingly strong ally, would also be placed in a very difficult position for his support of a disbanded effort. It must be remembered in this connection that there are sectors of Latin American opinion which criticize the U. S. for not dealing sufficiently forcefully with the Castro regime. In fact, one reason why many Latin American governments are holding back in opposing Castro is because they feel that sooner or later the U. S. will be compelled to take strong measures.

b. The resettlement of the military force will unavoidably cause practical problems. Its members will be angry, disillusioned and aggressive with the inevitable result that they will provide honey for the press bees and the U. S. will have to face the resulting indignities and embarrassments. Perhaps more important, however, will be the loss of good relations with the opposition Cuban leaders. To date almost all non-Batista, non-Communist political leaders have been encouraged or offered help in fighting Castro. An abandonment of the military force will be considered by them as a

withdrawal of all practical support. In view of the breadth of the political spectrum involved, this will cause some difficulties for the future since it is hard to imagine any acceptable post-Castro leadership that will not include some of the exiles dealt with during the past year.

9. CONCLUSIONS:

- a. Castro's position is daily getting stronger and will soon be consolidated to the point that his overthrow will only' be possible by drastic, politically undesirable actions such as an all-out embargo or an overt use of military force.
- b. A failure to remove Castro by external action will lead in the near future to the elimination of all internal and external Cuban opposition of any effective nature. Moreover, the continuance of the Castro regime will be a substantial victory for the Sino-Soviet Bloc which will use Cuba as a base for increased activity throughout the Western Hemisphere, thereby accentuating political instability and weakening U. S. prestige and influence.
- c. The Cuban paramilitary force, if used, has a good chance of overthrowing Castro or at the very least causing a damaging civil war without requiring the U.S. to commit itself to overt action against Cuba. Whatever embarrassment the alleged (though deniable) U.S. support may cause, it

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may well be considerably less than that resulting from the continuation of the Castro regime or from the more drastic and more attributable actions necessary to accomplish the result at a later date.

d. Even though the best estimate of likely Soviet reaction to a successful movement against Castro indicates problems to the U. S. arising from the removal or substantial weakening of the Castro regime, Soviet propaganda and political moves will still be much less prejudicial to the long-range interests of the U. S. than would the results of a failure to remove Castro.

A. CLANDESTINE INFILTRATION BY SEA OF SMALL UROUPS (UP TO 50 MEN)

- 1. The only areas of Cuba with mountainous terrain of sufficient extent and ruggedness for guerrilla operations are the Sierra Escambray of La Villas Province in Central Cuba and the Sierra Maestra of Oriente Province at the eastern extremity of the island. The Sierra de les Organos of Western Cuba do not encompass sufficient area and are not rugged enough to sustain guerrilla operations against strong opposition. Of the two areas with adequate terrain, only the Sierra Escambray is truly suitable for our purposes, since the mountains in Eastern Cuba are too distant from air bases in Latin America available to CIA for air logistical support operations. Primary reliance would have to be placed on this method of supply for guerrilla forces.
- 2. The Government of Cuba (GOC) has concentrated large forces of army and militia in both Las Villas and Oriente Provinces. Estimates of troop strength in Las Villas have varied recently from 17,000 to as high as 60,000 men, while up to 12,000 men are believed to be stationed in Oriente.
- While of dubious efficiency and morale, the militia, by sheer weight of numbers has been able to surround and

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eliminate small groups of insurgents. A landing by 27 men of the Masferrer Group in Oriente, for example, was pursued and eliminated by 2,000 militia. A similar group of insurgents in Western Cuba, was attacked and destroyed by six battalions of army and militia (about 3,000 men).

- 4. A build-up of force in a given area by infiltration of small groups would require a series of night landings in the same general vicinity. Discovery of the initial landing by GOC forces would be almost a certainty, since security posts are located at all possible landing areas. Even if the initial landing were successful, the GOC could be expected to move troops and naval patrol craft to the area making further landings difficult if not impossible. Any small force landed, experience has shown, will be rapidly engaged by forces vastly superior in numbers. Therefore, it is considered unlikely that small groups landing on successive occasions would succeed in joining forces later. A series of surrounded pockets of resistance would be the result.
- 5. Repeated approaches to the Cuban coast by vessels large enough to land up to 50 men would probably provoke attack by the Cuban Navy and/or Air Force, either of which is capable of destroying any vessels which could be used by CIA for these purposes.

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- 6. In the Sierra Escambray, which is the only area of Cuba in which true guerrilla operations are now being conducted, ill-equipped and untrained groups of up to 200 to 300 men have been hard pressed to survive and have been unable to conduct effective operations. The only worthwhile accomplishment of these bands has been to serve as a symbol of resistance.

 Smaller groups, even though better trained and equipped, could not be expected to be effective.
- 7. There are very few sites on the south coast of the Sierra Escambray where small boats can be landed. These are found principally at the mouths of rivers and are all guarded by militia posts armed with machine guns. A small group landing at such a point by shuttling from a larger vessel in small boats would probably receive heavy casualties.
- 8. Small-scale infiltrations would not produce a psychological effect sufficient to precipitate general uprisings and widespread revolt among disaffected elements of Castro's armed forces. These conditions must be produced before the Castro Government can be overthrown by any means short of overt intervention by United States armed forces. As long as the armed forces respond to Castro's orders, he can maintain himself in power indefinitely. The history of all police-type states bears out this conclusion,

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9. The CIA Cuban Assault Force, composed entirely of volunteers, has been trained for action as a compact, heavily armed, hard-hitting military unit, and the troops are aware of the combat power which they possess as a unit. They have been indoctrinated in the military principle of mass and instructed that dispersion of force leads to defeat in detail. They will be quick to recognize the disadvantages of the infiltration concept, and it is unlikely that all would volunteer for piecemeal commitment to military action in Cuba. The troops can be used in combat only on a voluntary basis.
The Government of the United States exercises no legal command or disciplinary authority over them.

CONCLUSIONS:

- This course of action would result in large scale loss of life, both through military action against forces vastly superior in numbers and as a result of drum-head justice and firing squad execution of those captured.
- This alternative could achieve no effective military or psychological results.

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PROPOSED OPERATION AGAINST CUBA

- 1. Status of Preparatory Action: About a year ago the Agency was directed to set in motion: the organization of a broadly-based opposition to the Castro regime; a major propaganda campaign; support for both peaceful and violent resistance activities in Cuba; and the development of trained paramilitary ground and air forces of Cuban volunteers.
- A decision should shortly be made as to the future of these activities and the employment or disposition of assets that have been created. The status of the more important activities is as follows:
- a. <u>Political</u>: Over a period of nearly a year, the FRD (Frente Revolucionario Democratico), which was created in the hope that it would become the organizational embodiment of a unified opposition to Castro, has proved to be highly useful as a cover and administrative mechanism but important political elements refused to join it.

Accordingly, a major effort was undertaken three weeks ago to form a more broadly-based revolutionary council which would include the FRD, and which could lead to the setting up of a provisional government. Considerable progress has been made in

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negotiations with the principal Cuban leaders in which great efforts have been made to permit the Cubans to chart their own course. It is expected that the desired result will be accomplished shortly. What is emerging from these negotiations is a provisional government with a center to left-of-center political orientation, and a political platform embodying most of the originally stated goals of the 26 July movement. It is believed that this will command the support of a very large majority of anti-Castro Cubans although it will not be altogether acceptable to the more conservative groups.

- b. <u>Military</u>: The following paramilitary forces have been recruited and trained and will shortly be in an advanced state of readiness.
 - (1) A reinforced battalion with a present strength of 850 which will be brought up to a strength of approximately 1,000 through the addition of one more infantry company to be used primarily for logistic purposes and as a reserve.
 - (2) A briefly trained paramilitary force of approximately 160 intended to be used for a diversionary night landing to be undertaken in advance of commitment of the battalion.

- (3) An air force of 16 B-26 light bombers, 10 C+54s and 5 C-46s.
- (4) Shipping including 2 100-ton ships, 5 1500-ton ships, 2 LCIs, 3 LCUs and 4 LCVPs.

A JCS team recently inspected the battalion and the air force at their bases in Guatemala. Their findings led them to conclude that these forces could be combat-ready by 1 April. Certain deficiencies were indicated that are in progress of correction partly by further training and partly by the recruitment of the additional infantry company referred to above.

- c. <u>Timing</u>: It will be infeasible to hold all these forces together beyond early April. They are in large part volunteers, some of whom have been in hard training, quartered in sustere facilities for as much as six months. Their motivation for action is high but their morale cannot be maintained if their commitment to action is long delayed. The onset of the rainy season in Guatemala in April would greatly accentuate this problem and the Guatemalan Government is in any event unwilling to have them remain in the country beyond early April. The rainy season in Cuba would also make their landing on the island more difficult.
- 2. The Situation in Cuba: We estimate that time is against us. The Castro regime is steadily consolidating its control over Cuba. In the absense of greatly increased external pressure or

action, it will continue to do so regardless of declining popular support as the machinery of authoritarian control becomes increasingly effective.

- a. The regime is proceeding methodically to solidify its control over all the major institutions of the society and to employ them on the Communist pattern as instruments of repression. The Government now directly controls all radio, television, and the press. It has placed politically dependable leadership in labor unions, student groups, and professional organizations. It has nationalized most productive and financial enterprises and is using a program of so-called land reform to exercise effective control over the peasantry. It has destroyed all political parties except the Communist party. Politically reliable and increasingly effective internal security and military forces are being built up.
- b. There is still much active opposition in Cuba. It is estimated that there are some 1200 active guerrillas and another thousand individuals engaging in various acts of conspiracy and sabotage, the tempo of which has been rising in recent weeks.

 Nevertheless, the government has shown considerable skill in espionage and counter-espionage. It is making good use of the militia against guerrilla activities and the infiltration of people and hardware. The militia is relatively untrained and

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there is evidence that its morale is low but the government is able to use very large numbers against small groups of guerrillas and is able to exercise surveillance of suspicious activities throughout the island. Short of some shock that will disorganize or bring about the defection of significant parts of the militia, it must be anticipated that violent opposition of all kinds will gradually be suppressed.

- establishment, especially the Navy and Air Force, are of extremely low effectiveness. Within the next few months, however, it is expected that Cuba will begin to take delivery of jet aircraft and will begin to have available trained and well indoctrinated Cuban pilots. During the same period the effectiveness of ground forces will be increasing and their knowledge of newly acquired Soviet weapons will improve. Therefore, after some date, probably no more than six months away it will probably become militarily infeasible to overthrow the Castro regime except through the commitment to combat of a more sizeable organized military force than can be recruited from among the Cuban exiles.
- 3. Possible Courses of Action: Four alternative courses of action involving the commitment of the paramilitary force described above are discussed in succeeding paragraphs. They are:
- a. Employment of the paramilitary force in a manner which would minimize the appearance of an invasion of Cuba from the outside.

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- b. Commitment of the paramilitary force in a surprise landing with tactical air support, the installation under its protection on Cuban soil of the opposition government and either the rapid spread of the revolt or the continuation of large scale guerrilla action in terrain suited for that purpose.
- c. Commitment of the paramilitary force in two successive operations: Fibrst, the landing of one company without
 air support in a remote area in which it could sustain itself for
 some days (hopefully indefinitely), and second, the landing of the
 main force forty-eight hours later in a widely different location
 in the same manner as in paragraph 3.B. above.
- d. Commitment of the whole force in an inaccessible region where it would be expected to keep control of a beachhead for a long period of time to permit installation and recognition of a provisional government and a gradual build-up of military strength.
- 4. Covert Lending of the Paramilitary Forces: Careful study has been given to the possibility of infiltrating the paramilitary forces in a night amphibious landing, using man-portable equipment and weapons and taking ashore only such supplies as can be carried by the troops. The force would move immediately in-land to the mountains and commence operations as a powerful guerrilla force relying entirely upon confinuing air logistical support. Shipping

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would retire from the coast before dawn and no tactical air operations would be conducted. Unfortunately, it is believed that such an operation would involve unacceptable military risks.

- a. The paramilitary force would run the risk of becoming completely disorganized and scattered in a night landing. (Such an operation is very difficult for even highly trained forces experienced in amphibious operations.)
- b. The force would not have motor transport, heavy mortar,
 75 mm recoiling rifles, heavy machine guns, nor tanks. Initial
 ammunition and food supplies would be limited and it would be wholly
 dependent on air logistical support. If the rainy season commences
 in April, overcast conditions could prevent effective support.
 Casualities could not be evacuated.
- c. Since tactical aircraft would not participate, the objective area could not be isolated; enemy forces could move against the beachhead unimpeded. The Castro Air Force would be left intact.
- 5. A Landing in Full Force: This operation would involve an amphibious/airborne assault with concurrent (but no prior) tactical air support, to seize a beachhead contiguous to terrain suitable for guerrilla operations. The provisional government would land as soon as the beachhead had been secured. If initial military operations were successful and especially if there were evidence

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of spreading disaffection against the Castro regime, the provisional government could be recognized and a legal basis provided for at least non-governmental logistic support.

- a. The military plan contemplates the holding of a perimeter around the beachhead area. It is believed that initial attacks by the Castro militia, even if conducted in considerable force, could be repulsed with substantial loss to the attacking forces. The scale of the operation and the display of professional competence and of determination on the part of the assault force would, it is hoped, demoralize the militia and induce defections therefrom, impair the morale of the Castro regime, and induce widespread rebellion. If the initial actions proved to be unsuccessful in thus detonating a major revolt, the assault force would retreat to the contiguous mountain area and continue operations as a powerful guerrilla force.
- b. This course of action has a better chance than any other of leading to the prompt overthrow of the Castro regime because it holds the possibility of administering a demoralizing shock.
- c. If this operation were not successful in setting off widespread revolt, freedom of action of the U.S. would be preserved because there is an alternative outcome which would neither require U.S. intervention nor constitute a serious defeat; i.e.,

guerrilla action could be continued on a sizeable scale in favorable terrain. This would be a means of exerting continuing pressure on the regime.

- 6. A Diversionary Landing: As a variant of the above plan, it would be feasible to conduct a diversionary landing with a force of about 160 men in an inaccessible area as a prelude to a landing of the main assault force. The initial operation would be conducted at night without tactical air support. At least a part of the provisional government would go in with the diversionary landing and presumably the establishment of the provisional government on Cuban soil would thereupon be announced. The subsequent landing of the main assault force would be carried out as outlined in paragraph 5 preceding.
- a. This course of action might have certain political advantages in that the initial action in the campaign would be of a character that could plausibly have been carried out by the Cubans with little outside help.
- b. There would be a military advantage in that the diversionary landing would distract attention and possibly divide some enemy forces from the objective area for the main assault. If reports had reached the Castro government that troops trained in Guatemals were on the move, the diversionary landing might well be taken to be the main attack thus enhancing the element of

surprise for the main assault force. These advantages would be counterbalanced by the diversion of troops otherwise supporting the main unit.

- 7. Landing and Slow Build-up: Under this fourth alternative the whole paramilitary force could carry out a landing and seize a beachhead in the most remote and inaccessible terrain on the island with intent to hold indefinitely an area thus protected by geography against prompt or well-supported attacks from the land. This would permit the installation there of the provisional government, its recognition by the U. S. after a decent interval, and (if needed) a long period of build-up during which additional volunteers and military supplies would be moved into the beachhead.
- a. A major political advantage of this course of action would be that the initial assault might be conducted in such a way as to involve less display of relatively advanced weaponry and of professional military organization than the landing in force discussed above, especially so as there is every likelihood that the initial landing would be virtually unopposed by land forces.

 Recognition could provide a suitable political and legal basis for a protracted build-up after the initial assault.
- b. Such an operation would, however, require tactical air support sufficient to destroy or neutralize the Castro Air Force. If this were not provided concurrently with the landing, it would

be needed soon thereafter in order to permit ships to operate into the beachhead and the planned build-up to go forward. If the initial landing could include seizure of an air strip, the necessary air support could fairly soon be provided from within the territory controlled by friendly forces. There is, however, no location which both contains a useable airstrip and is so difficult of access by land as to permit protection of a slow build-up.

c. This type of operation by the very fact of being clandestine in nature and remote geographically would have far less initial impact politically and militarily than courses two or three.

8. Conclusions:

- a. The Castro regime will not fall of its own weight. In the absence of external action against it, the gradual weakening of internal Cuban opposition must be expected.
- b. Within a matter of months the capabilities of Castro's military forces will probably increase to such a degree that the overthrow of his regime, from within or without the country, by the Cuban opposition will be most unlikely.
- c. The Cuban paramilitary force if effectively used has a good chance of overthrowing Castro, or of causing a damaging civil war, without the necessity for the United States to commit itself to overt action against Cuba.

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d. Among the alternative course of action here reviewed, an assault in force preceded by a diversionary landing offers the best chance of achieving the desired result.

DD/P:RMB:djm:bp&gb 1-President & returned - DD/P Chrono 2-Sec. State & returned - Destroyed 3-Y. Pres. & returned - Destroyed 4-Adolph Berle & returned - Destroyed 5-Thomas Mann State & returned - Destroyed 13-DD/P Subj. file - Cuba 6-Sec. Defense & returned - Destroyed 14-Destroyed 7-Lemnitzer - retained 8-McGeorge Bundy - retained

9-DD/P-RMB retained 10-Wm. Bundy - retained 11-Gen. Gray - retained 12-Mr. Barnes - retained 15-Destroyed 16-D/DCI - retained 17-Destroyed (17 of 17)

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15 March 1961

REVISED CUBAN OPERATION

- 1. Political Requirements: The plan for a Cuban operation and the variants thereof presented on 11 March were considered to be politically objectionable on the ground that the contemplated operation would not have the appearance of an infiltration of guerrillas in support of an internal revolution but rather that of a small-scale World War II type of amphibious assault. In undertaking to develop alternative plans and to judge their political acceptability, it has been necessary to infer from the comments made on the earlier plan the characteristics which a new plan should possess in order to be politically acceptable. They would appear to be the following:
 - a. An Unspectacular Landing: The initial landing should be as unspectacular as possible and should have neither immediately prior nor concurrent tactical air support. It should conform as closely as possible to the typical pattern of the landings of small groups intended to establish themselves or to join others in terrain suited for guerrilla operations. In the absence of air support and in order to fit the pattern, it should probably be at night.

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- b. A Base for Tactical Air Operations: It was emphasized that ultimate success of the operation will require tactical air operations leading to the establishment of the control of the air over Cuba. In order to fit the pattern of revolution, these operations should be conducted from an air base within territory held by opposition forces. Since it is impracticable to undertake construction of an air base in the rainy season and before any air support is available, the territory seized in the original landing must include an air strip that can support tactical operations.
- c. Slower Tempo: The operation should be so designed that there could be an appreciable period of build up after the initial landing before major offensive action was undertaken. This would allow for a minimum decent interval between the establishment and the recognition by the U.S. of a provisional government and would fit more closely the pattern of a typical revolution.
- d. <u>Guerrilla Warfare Alternative</u>: Ideally, the terrain should not only be protected by geography against prompt or well-supported attack from land but also suitable for guerrilla warfare in the event that an organized perimeter could not be held.
- 2. Alternative Areas: Five different areas, three of them on the mainland of Cuba and two on islands off the coast, were studied carefully to determine whether they would permit an

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operation fitting the above conditions. One of the areas appears to be eminently suited for the operation. All the others had to be rejected either because of unfavorable geography (notably the absence of a suitable air strip) or heavy concentrations of enemy forces, or both. The area selected is located at the head of a well protected deep water estuary on the south comst of Cuba. It is almost surrounded by swamps impenetrable to infantry in any numbers and entirely impenetrable to vehicles, except along two narrow and easily defended approaches. Although strategically isolated by these terrain features, the area is near the center of the island and the presence of an opposition force there will soon become known to the entire population of Cuba and constitute a serious threat to the regime. The beachhead area contains one and possibly two air strips adequate to handle B-26's. There are several good landing beaches. It is of interest that this area has been the scene of resistance activities and of outright guerrilla warfare for over a hundred years.

3. Phases of the Operation:

a. The operation will begin with a night landing.

There are no known enemy forces (even police) in the objective area and it is anticipated that the landing can be carried out with few if any casualties and with no serious

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combat. As many supplies as possible will be unloaded over the beaches but the ships will put to sea in time to be well offshore by dawn. The whole beachhead area including the air strips will be immediately occupied and approach routes defended. No tanks will be brought ashore in the initial landing. It is believed that this operation can be accomplished quite unobtrusively and that the Castro regime will have little idea of the size of the force involved.

- b. The second phase, preferably commencing at dawn following the landing, will involve the movement into the beachhead of tactical aircraft and their prompt commitment for strikes against the Castro Air Force. Concurrently C-46's will move in with gas in drums, minimal maintenance equipment, and maintenance personnel. As rapidly as possible, the whole tactical air operation will be based in the beachhead but initially only enough aircraft will be based there plausibly to account for all observable activity over the island.
- c. In the third phase, as soon as there is adequate protection for shipping from enemy air attack, ships will move back into the beach to discharge supplies and equipment (including tanks). It must be presumed that counter

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15 December 1961

SUBJECT: The Inspector General's Survey of the Cuban Operation

To comment on the subject report in detail would result in a paper approaching in length, that of the survey itself. Such a commentary would have to deal in depth with the aim of the survey, its scope, and the method used in compiling it. Such a commentary would, at a large number of pages, be required to note inaccuracies, omissions, distortions, unsupported allegations, and many erroneous conclusions.

A detailed inquiry on the Cuban operation on elements other than clandestine tradecraft, has already been completed by the group headed by General Taylor. General Taylor's report was based on testimony by all the principal officers involved in the Cuban operation. The Inspector General's report is not based on complete testimony; some of its conclusions are in conflict with General Taylor's conclusions.

It is not clear what purpose the Inspector General's report is intended to serve. If it is intended primarily as an evaluation of the Agency's role, it is deficient. Neither Mr. Dulles nor I was consulted in the preparation of the Inspector General's report. As a result, there are many unnecessary inaccuracies.

The report tries to do both too much and too little.

On the one hand, it attempts to describe the processes of national security policy-making as though this were a process in logical deduction like working a problem in geometry. According to the Inspector General's account, firm propositions should be laid down in writing and in advance from which correct conclusions as to proper actions must inevitably be drawn. In this respect the report goes far beyond an analysis of the Agency's role, and it is not accurate. It tries to do too much.

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On the other hand, the report treats the preparations for the April landings as if these were the only activities directed against Castro and his influence throughout the hemisphere and the world. It chooses to ignore all other facets of the Agency's intelligence collection and covert actions program which preceded, accompanied, and have followed the landings in April of 1961. Thus, it does too little.

The report misses objectivity by a wide margin. In unfriendly hands, it can become a weapon unjustifiably to attack the entire mission, organization, and functioning of the Agency. It fails to cite the specific achievements of persons associated with the operation and presents a picture of unmitigated and almost willful bumbling and disaster.

In its present form, this is not a useful report for anyone inside or outside the Agency. If complete analysis beyond that already accomplished by General Taylor and his group is still required, then a new kind of report is called for, --a report with clear terms of reference based on complete testimony. Such a report could concentrate on clandestine tradecraft, an asset for which the Agency remains uniquely responsible.

/s/ C. P. Cabell C. P. Cabell General, USAF Deputy Director

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1 December 1961

MEMORANDUM FOR: Director of Central Intelligence

SUBJECT : Report on the Cuban Operation

- 1. In our conversation on Friday morning, the first of December, you mentioned your concern that the Inspector General's Report on the Cuban Operation, taken alone, might give an erroneous impression as to the extent CIA is responsible for the failure of the operation. In my opinion the failure of the operation should be charged in order to the following factors.
- a. An over-all lack of recognition on the part of the U.S. Government as to the magnitude of the operation required to overthrow the Fidel Castro regime.
- b. The failure on the part of the U.S. Government to plan for all contingencies at the time of the Cuban operation including the necessity for using regular U.S. military forces in the event that the exiled Cubans could not do the job themselves.
- c. The failure on the part of the U.S. Government to be willing to commit to the Cuban operation, as planned and executed, those necessary resources required for its success.

/s/ Lyman Kirkpatrick Lyman B. Kirkpatrick Inspector General

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15 December 1961

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